

STOMPBOX DESIGN WORKSHOP

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FX Basics: Filtering

Filtering effects modify the frequency content of the audio signal, achieving **boosting or weakening** specific **frequency bands** or regions.

Although their broad application to processing sound signals dates back from the early days of recording, their use application to processing guitar electrical signal may have started in the 1950s.

Filtering effects make use of **filters**, which are signal processors which **alter magnitude and phase** of signals by different amounts to different frequency components.

Ex: equalization, wah-wah



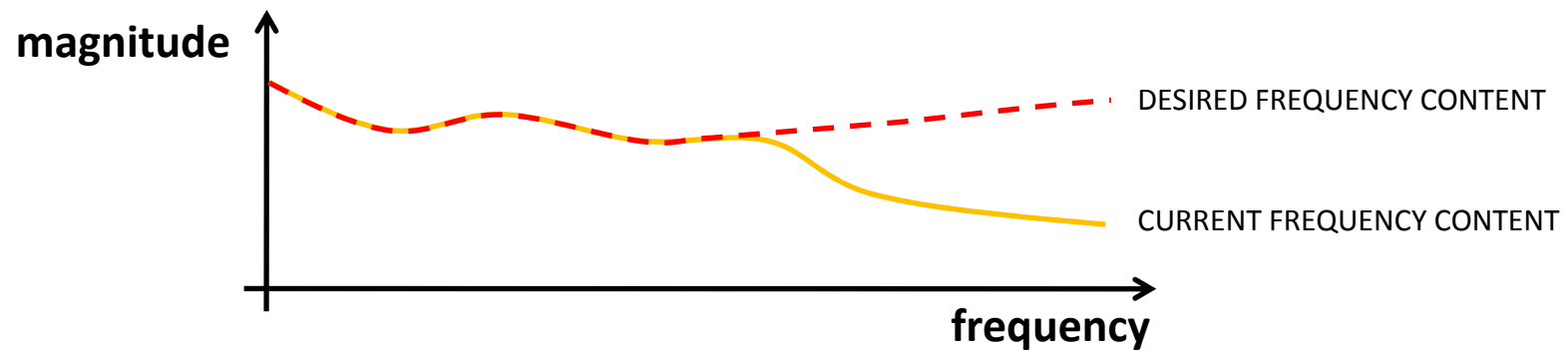
Equalization

FX Basics:
Filtering



Original term coined from the task of 'adjusting the balance between of (or *equalize*)' different frequency components of a signal.

Equalization is commonly achieved by means of a device specifically designed for a **user-friendly control** of the parameters governing the **behavior of filters** used for its construction.



User-friendly interface to controlling filters so that a **desired alteration** is achieved...

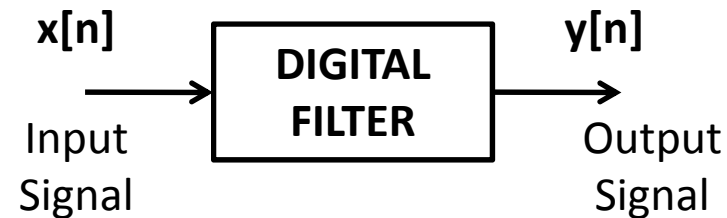
DIGITAL FILTERS!

Digital Filters

FX Basics:
Filtering



Systems that perform mathematical operations (multiplications and additions) to a **discrete input signal** $x[n]$ to modify some of its characteristics and **obtain a discrete output signal** $y[n]$.

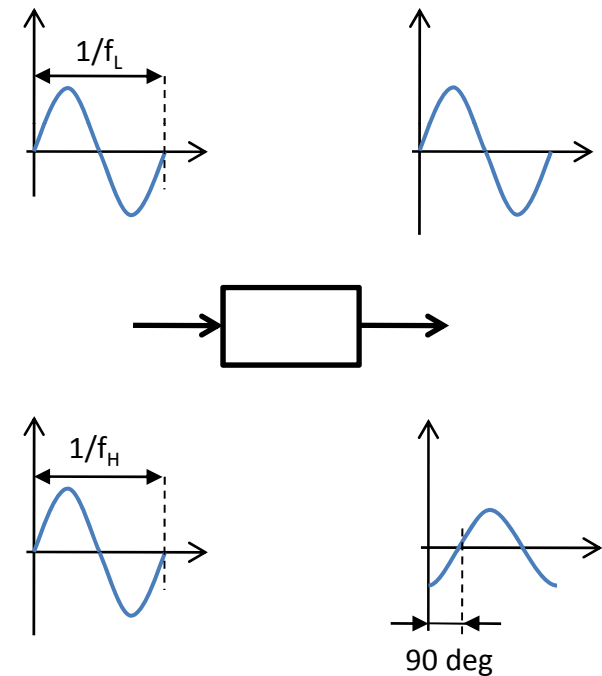
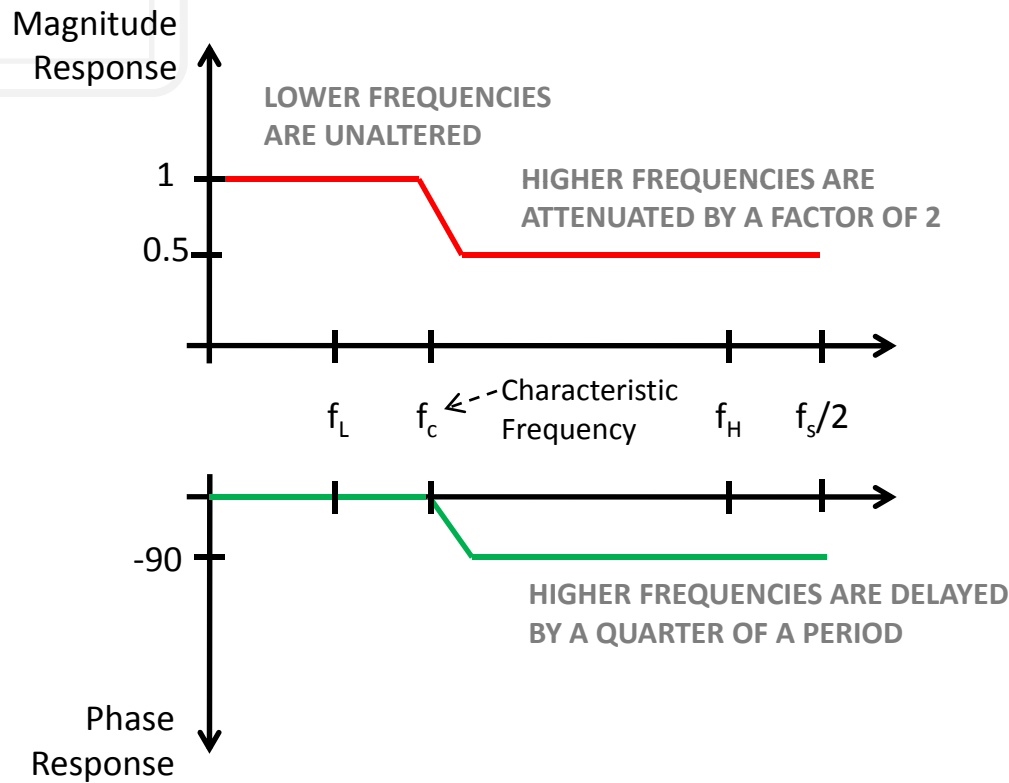


It is common to **describe a digital filter** in terms of **how it affects amplitude and phase of different frequency components** of a signal.

Ultimately, the design of digital filters is driven by such desired features. In general, **digital filter design** is not an easy task.

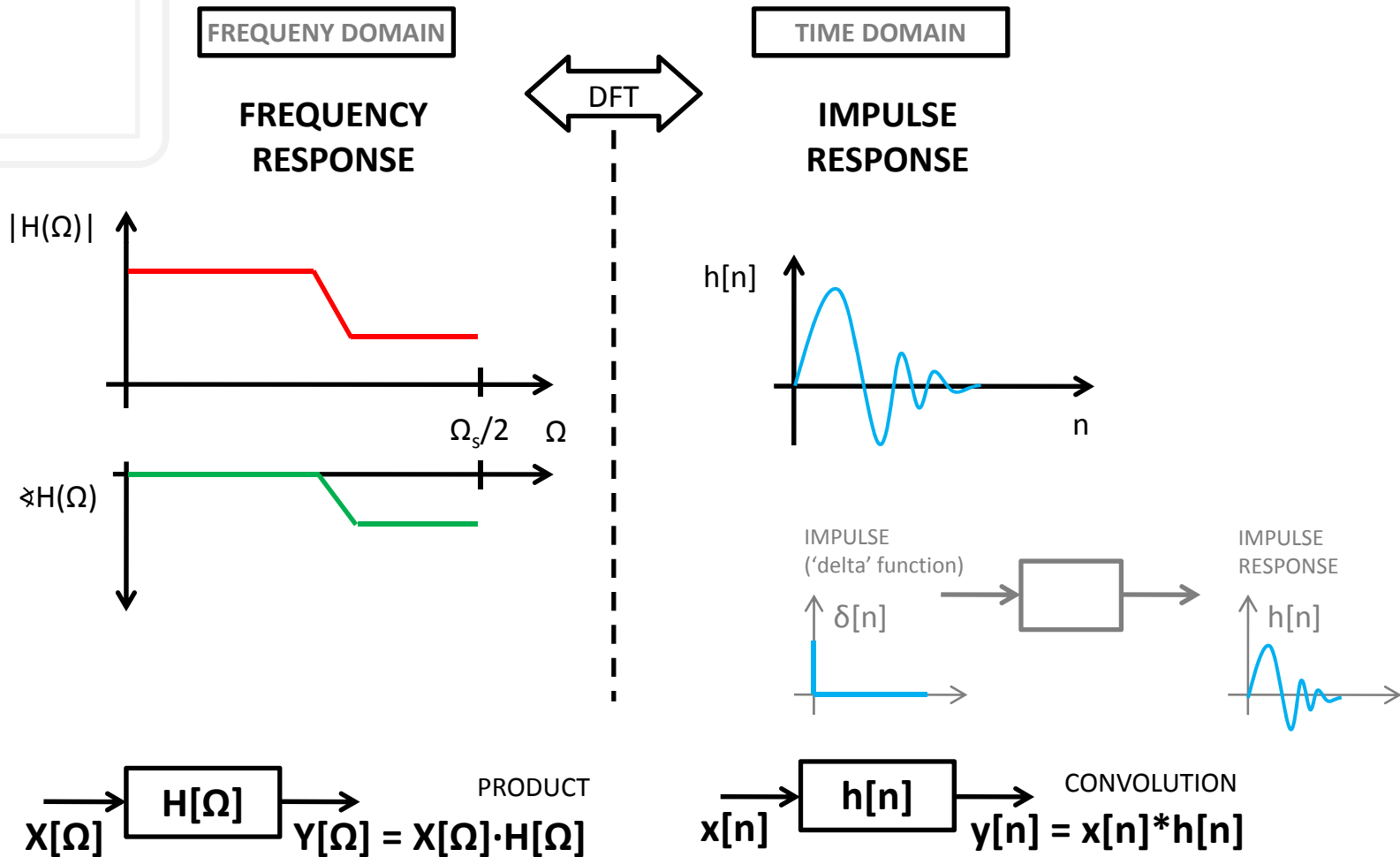
Digital Filters (ii)

FX Basics:
Filtering



Digital Filters (iii)

FX Basics:
Filtering



Digital Filters (iv)

FX Basics:
Filtering



Digital filters are commonly expressed by their **difference equation**:

$$y[n] = b_0 \cdot x[n] + b_1 \cdot x[n-1] + \dots + b_M \cdot x[n-M] \quad \leftarrow \text{CURRENT AND PREVIOUS INPUT SAMPLES} \\ - a_1 \cdot y[n-1] - \dots - a_N \cdot y[n-N] \quad \leftarrow \text{PREVIOUS OUTPUT SAMPLES}$$

$$= \underbrace{\sum_{i=0}^M b_i \cdot x[n-i]}_{\text{NON-RECURSIVE PART}} - \underbrace{\sum_{j=1}^N a_j \cdot y[n-j]}_{\text{RECURSIVE PART}}$$

b_i, a_j \longrightarrow FILTER COEFFICIENTS

$\max(M, N)$ \longrightarrow FILTER ORDER

...or by their **transfer function** (in the frequency domain, through the 'Z' transform):

$$H(z) = \frac{Y(z)}{X(z)} = \frac{b_0 + b_1 \cdot z^{-1} + \dots + b_N \cdot z^{-M}}{1 + a_1 \cdot z^{-1} + \dots + a_N \cdot z^{-N}}$$

z^{-M} denotes M samples of delay

Digital Filters (v)

FX Basics:
Filtering



Two main types of digital filters:

Finite Impulse Response (**FIR**)

- Presents only b_i coefficients being non-zero : **NON-RECURSIVE**
- Finite $h[n]$
- Phase response is linear

$$H(z) = \frac{b_0 + b_1 \cdot z^{-1} + \dots + b_N \cdot z^{-N}}{1 + a_1 \cdot z^{-1} + \dots + a_N \cdot z^{-N}}$$

Infinite Impulse Response (**IIR**)

- Presents both b_i and a_j coefficients being non-zero: **RECURSIVE**
- Infinite $h[n]$
- Phase response is non-linear
- Need less computations for similar desired characteristics
- May suffer from numerical problems due to feedback

Digital Filters (vi)

FX Basics:
Filtering



How to explore the frequency domain response of a given filter?
Among other options...

SINUSOIDAL ANALYSIS

- Generate a sinusoidal $x_i[n]$ for each frequency f_i to study
- Feed filter with each sinusoidal signal $x_i[n]$ and obtain a sinusoidal $y_i[n]$
- Obtain magnitude and phase responses for each frequency Ω_i :

$$|H[\Omega_i]| = A(y_i[n])/A(x_i[n]) \quad \angle H[\Omega_i] = \angle y_i[n] - \angle x_i[n]$$

IMPULSE RESPONSE

- Generate an impulse 'delta' signal $\delta[n]$
- Feed filter with signal $\delta[n]$ and obtain output signal $h[n]$
- Obtain $H[\Omega]$ via DFT($h[n]$)
- Obtain magnitude and phase responses as:

$$|H[\Omega]| = \text{abs}(H[\Omega]) \quad \angle H[\Omega] = \text{angle}(H[\Omega])$$

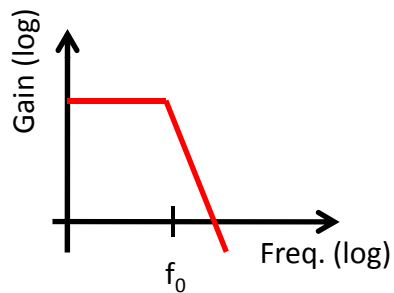
Digital Filters (vii)

FX Basics:
Filtering

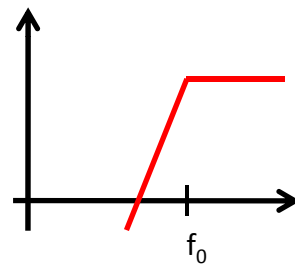


Some **prototypical** basic filters (magnitude response):

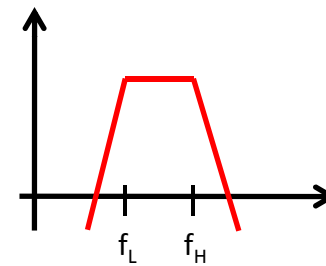
LOW-PASS (LP)



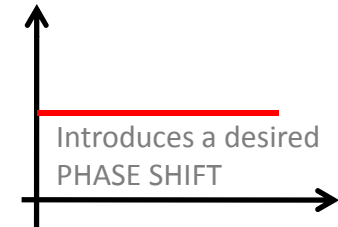
HIGH-PASS (HP)



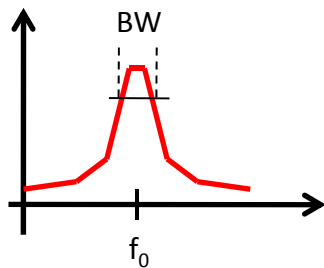
BAND-PASS (BP)



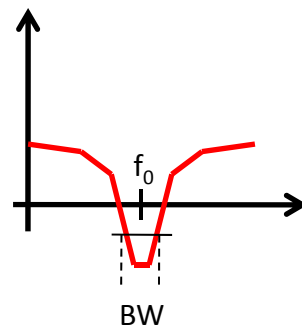
ALL-PASS (AP)



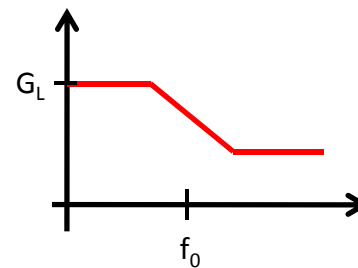
PEAK



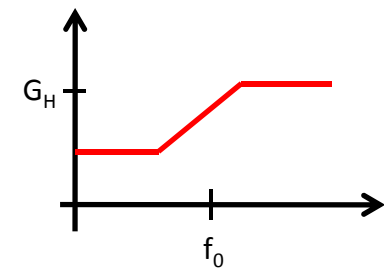
NOTCH



LOW-SHELF



HIGH-SHELF

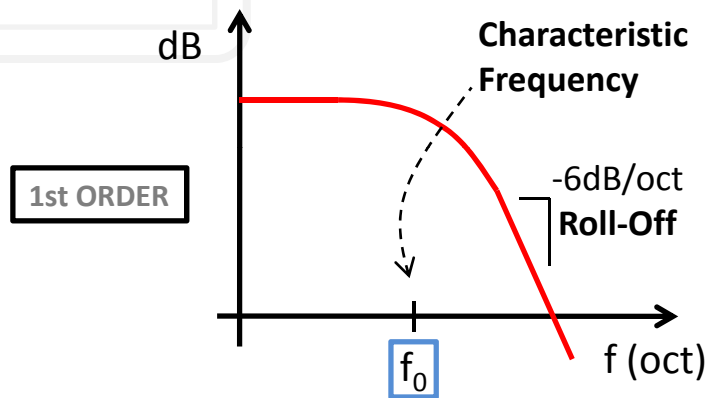


Digital Filters (viii)

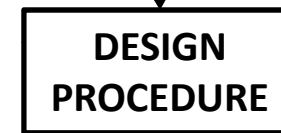
FX Basics:
Filtering



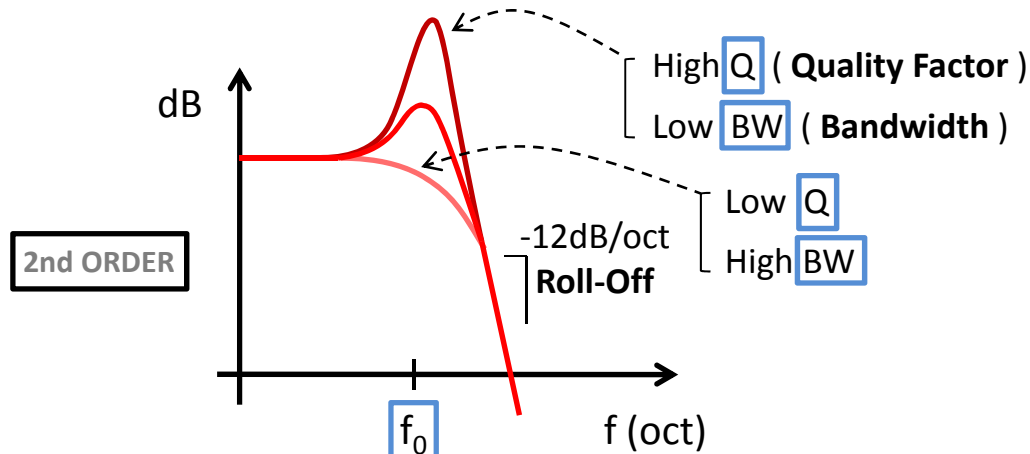
LPF (Butterworth) design parameters/constraints:



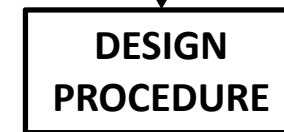
Desired $\{f_0\}$



Filter Coefficients $\{b_i, a_j\}$



Desired $\{f_0, Q\}$ or $\{f_0, BW\}$



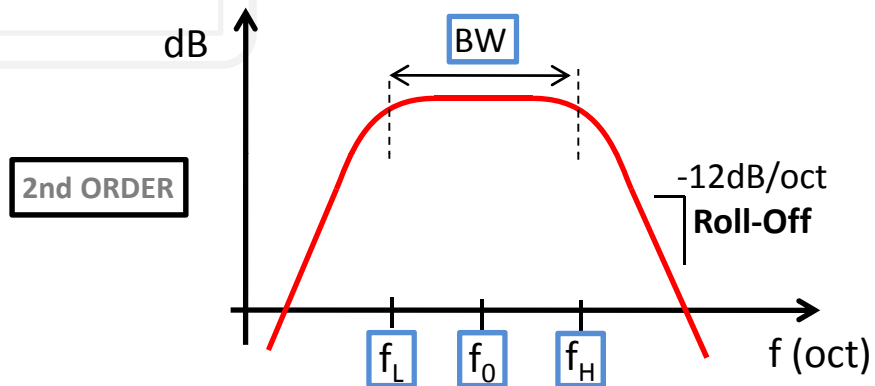
Filter Coefficients $\{b_i, a_j\}$

Digital Filters (ix)

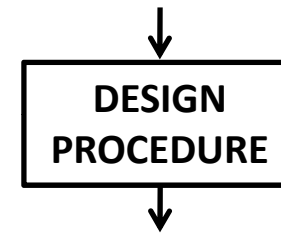
FX Basics:
Filtering



BPF design parameters/constraints:

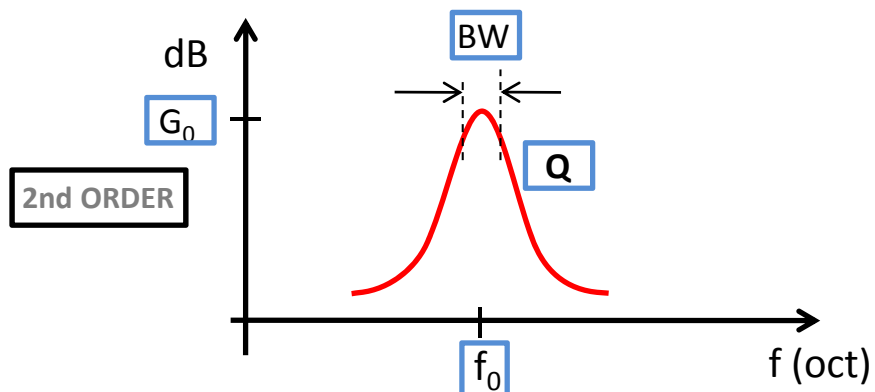


Desired $\{f_L, f_H\}$ or $\{f_0, BW\}$

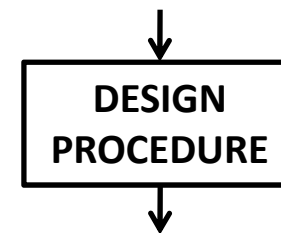


Filter Coefficients $\{b_i, a_j\}$

PEAK design parameters/constraints:



Desired $\{f_0, G_0, BW\}$ or $\{f_0, G_0, Q\}$



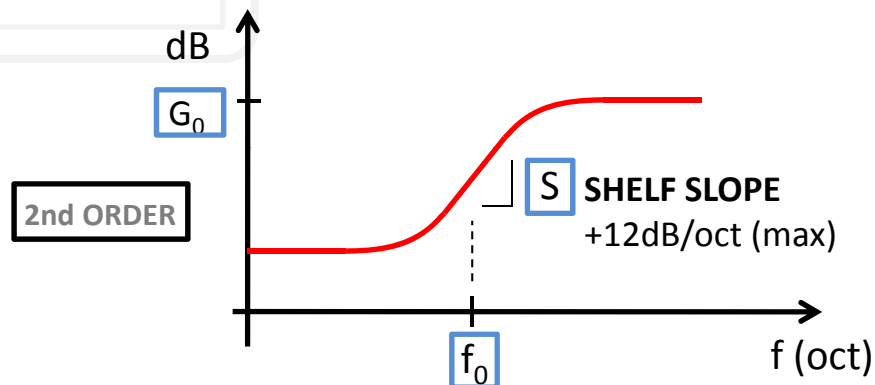
Filter Coefficients $\{b_i, a_j\}$

Digital Filters (x)

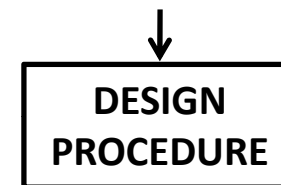
FX Basics:
Filtering



HIGH-SHELF design parameters/constraints:



Desired $\{f_0, G_0, S\}$



Filter Coefficients $\{b_i, a_j\}$

All these filters functions can be implemented by means of the 2nd order 'BIQUAD' section:

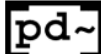
$$H(z) = \frac{b_0 + b_1 \cdot z^{-1} + b_2 \cdot z^{-2}}{a_0 + a_1 \cdot z^{-1} + a_N \cdot z^{-2}}$$

How to design them? Extensive theory & literature!!

→ Quick method: R. Bristow-Johnson's cookbook:



<http://www.musicdsp.org/files/Audio-EQ-Cookbook.txt>

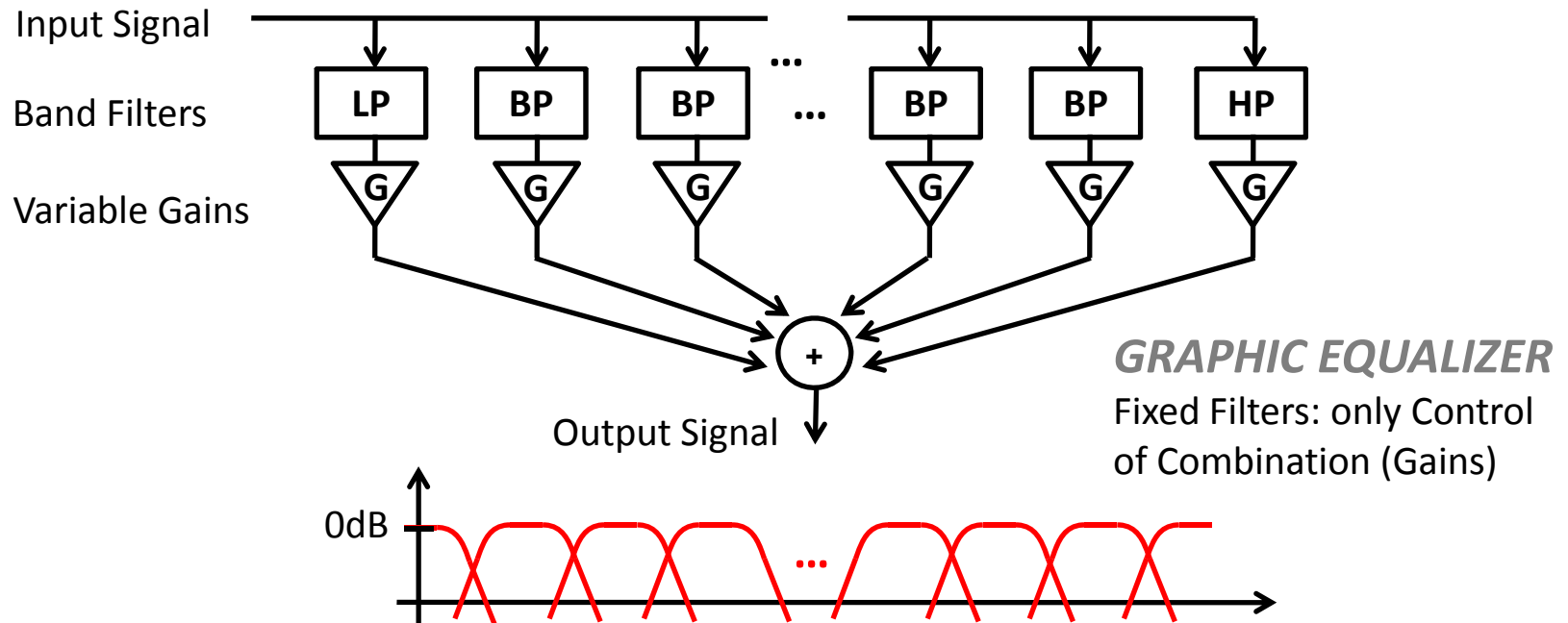
 04_stomp_filtering_1.pd

Equalization (ii)

FX Basics:
Filtering



N-BAND EQUALIZER by **PARALLEL**
BAND-DEDICATED, FIXED FILTERS



ISSUE: Phase shifts introduced by different IIR filters may cause undesired effects when summing overlapping bands... Compensate with ALL-PASS filters?

Equalization (iii)

FX Basics:
Filtering

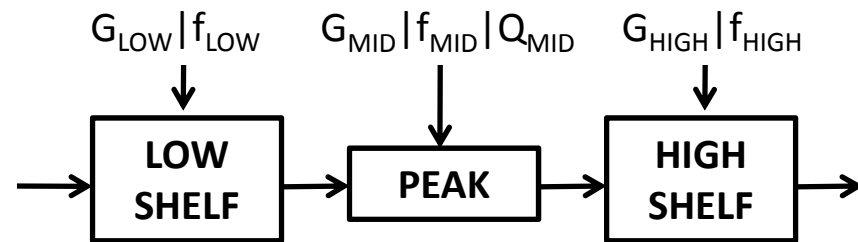


N-BAND EQUALIZER by **CASCADE** of
BAND-DEDICATED, CONTROLLABLE SECTIONS



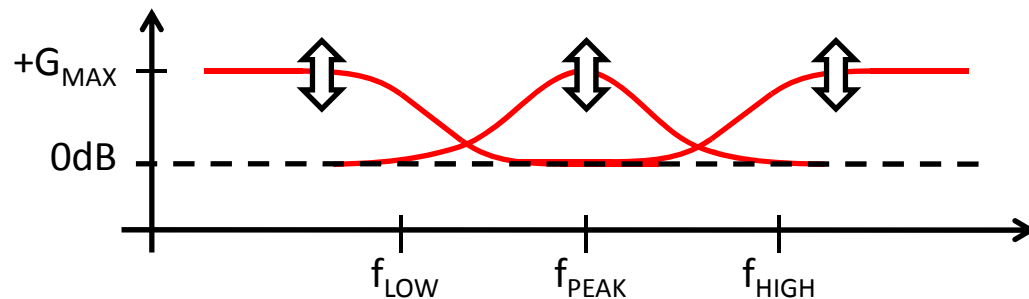
PARAMETRIC EQUALIZER

Variable Gains, Frequencies,
and Bandwidths.



ISSUE: Changes in
Gain/Frequency lead
to Q/BW variation...

Constant-Q filters!




<http://www.rane.com/note101.html>

Wah-wah

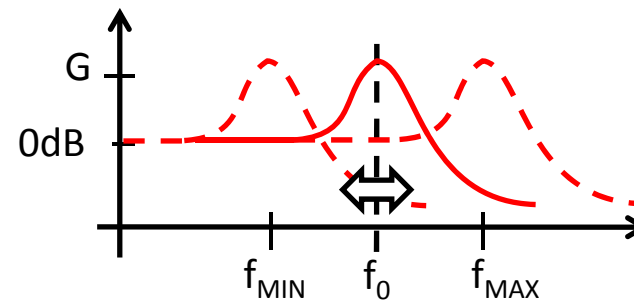
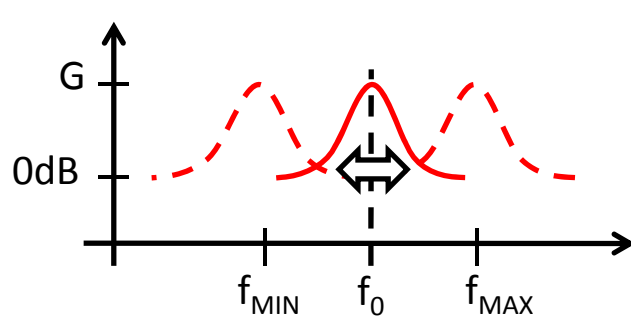
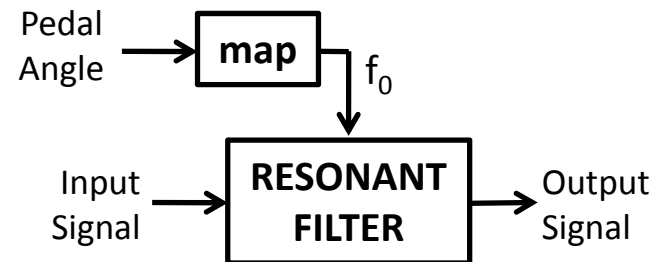
FX Basics:
Filtering

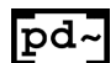


Dating back from the 60s, its name was given after voice tone modulation (*formant* shift) caused by transition between vowels.

 http://www.geofex.com/article_folders/wahped1/voicewah.htm

In its most basic form, it consists on shifting the center frequency of a resonant filter (Peak BP or LP)



 05_stomp_filtering_2.pd