

Industry-Sponsored Research at Stanford

This brochure has been prepared to aid faculty and potential industrial sponsors in their preliminary discussions concerning proposed research agreements at Stanford University. It acknowledges the importance of industrial support to Stanford's research program and outlines the basic policies and procedures necessary for developing, proposing, and negotiating sponsored research agreements.

Stanford-Industry Relationships

As one of the world's leading research universities, Stanford has long acknowledged the importance of its interaction with the private sector. Industrial sponsorship and support of research projects—together with industrial affiliates, gift programs and fellowships—have significantly contributed to graduate education and research programs at Stanford.

The University and its industrial sponsors have benefitted tremendously from the synergistic effects of a faculty preeminent in science and technology, some of the best research facilities available in any university, the high technology activities of the Santa Clara Valley in general, and the proximity of the Stanford Research Park in particular.

Faculty members have been major partners in designing creative problem-solving initiatives which harmonize the basic goals of the University with the needs and objectives of its industrial sponsors. Stanford seeks their continued involvement in structuring mutually advantageous research arrangements and is committed to providing an environment of cooperative interaction.

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The Leland Stanford Junior University

Inquiries

Inquiries regarding sponsored research or other sponsored activities (e.g., instruction, training and symposia agreements, industrial consortia contracts) should be addressed to:

Sponsored Projects Office
Encina Hall, Room 40
Stanford University
Stanford, California 94305
(415) 497-2883
Telex 348402 STANFRD STNU

Sources of Related Program Information

- Office of Development
Encina Hall, Room 301
(415) 497-9615
Corporate Relations
(includes information on
Stanford's Industrial
Affiliates Programs)
- Office of Technology Licensing
Encina Hall, Room 105
(415) 497-0615
License Agreements
Option Agreements
Software Distribution Center

**STANFORD
UNIVERSITY**

**INDUSTRY-
SPONSORED
RESEARCH**



**Sponsored Projects Office
March, 1983**

OFFICE OF TECHNOLOGY LICENSING
380 CAMBRIDGE AVENUE, SUITE 200
PALO ALTO, CA 94306

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MANAGER SOFTWARE LICENSING



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The Sponsored Research Program

Stanford University is organized as a nonprofit, tax-exempt, charitable trust with corporate powers under California laws for the purpose of advancing knowledge for its own sake and for the good of society through its missions of education and research.

Agreements are entered into in the name of The Board of Trustees of The Leland Stanford Junior University and are executed in the University's Sponsored Projects Office under signature delegation from the Board.

Research appropriate to Stanford's purposes and objectives is expected to:

- be of intellectual interest to, and be directed by, a member of the Academic Council;
- have the potential for making an original contribution to knowledge;
- provide educational and research opportunities, as well as financial support, for students;
- be appropriate to a tax-exempt, nonprofit educational institution.

Basic Policies for Research Agreements

Original research offers no guarantees of success or of particular results. Stanford research is thus conducted on a reasonable efforts basis. While Stanford does not accept deadlines for producing particular results or penalties for lack of progress, its faculty members commit to keeping sponsors fully informed of research progress in a timely manner through interim and final technical reports.

PUBLICATION Dissemination of research results is fundamental to the scientific and educational purposes of the University. Stanford policy requires that it be able to disclose the identity of the sponsor and investigator, the nature of the work, and the approximate dollar value. The significant data must be available to all members of the research group. The results must be freely publishable. Limited exceptions are allowed to protect personal privacy, proprietary data furnished by the sponsor, and inventions arising from the research.

INVENTIONS The University retains title to inventions made under sponsored research. Licensing agreements typically provide for royalty-bearing licenses and are negotiated separately with Stanford's Office of Technology Licensing (OTL). That office has the primary goal of transferring University technology as widely and quickly as possible, with a secondary goal of generating additional income to support education and research. Royalties are shared with inventors to recognize and encourage their creativity and cooperation.

ENVIRONMENT All Stanford research is subject to requirements designed to provide a safe and healthful environment.

COST REIMBURSEMENT Research agreements are typically cost-reimbursement, advance-payment agreements with full recovery of direct and indirect costs.

How to Set Up a Sponsored Project

After consulting with professional contacts at the sponsoring organization, the Principal Investigator (PI) prepares a proposal with assistance from the department administrative officer. The PI then obtains necessary approvals (department chair, dean, and if required, the Vice-Provost and Dean of Graduate Studies and Research) and forwards the proposal to the Sponsored Projects Office (SPO).

SPO puts the proposal through a review process to ensure that it complies with policy, law, and regulations; to note issues for possible negotiation; and to minimize risk to the University. A contract officer then formally endorses the proposal on behalf of Stanford and forwards it to the sponsor.

The sponsor, after reviewing the proposal, negotiates the business aspects of the agreement with the contract officer, who works in close consultation with the PI. Typical points include the financial details as well as those covered in the "Basic Policies" section of this brochure.

Upon completion of these negotiations the contract officer formally executes the resulting agreement under signature authority from the Stanford Board of Trustees.

During the course of the project, the PI consults with the sponsor's program manager on technical matters; and SPO works with the investigator and the sponsor's business representative on matters affecting the terms, conditions, funding, and completion of the project.



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