


## Spectral Modeling


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**(also Music)**



## Sound Views: Frequency Domain

**Frequency Domain:**

- Many physical systems have modes (damped oscillations)
- Wave equation (2nd order) or Bar equation (4th order) need 2 or 4 "boundary conditions" for solution
- Once boundary conditions are set solutions are sums of exponentially damped sines the sinusoids are Modes




## The (discrete) Fourier Series

**A time waveform is a sum of sinusoids**

$$x(n) = \sum_{m=0}^{N-1} A_m \exp\left(\frac{j2\pi nm}{N}\right) \quad (A \text{ is complex})$$

$$= \sum_{m=0}^{N-1} B_m \sin\left(\frac{2\pi nm}{N}\right) + C_m \cos\left(\frac{2\pi nm}{N}\right)$$

$$= \sum_{m=0}^{N-1} D_m \cos\left(\frac{2\pi nm}{N} + \theta_m\right)$$




## The (discrete) Fourier Transform

A Spectrum is a sinusoidal decomposition of a signal

$$A(m) = X(SRATE * m / N) = \sum_{n=0}^{N-1} x(n) \exp\left(\frac{-jnm2\pi}{N}\right)$$

This transform is unique and invertible (non-parametric representation like sampling)



## Spectra: Magnitude and Phase


**Often only magnitude is plotted**

- Human perception is most sensitive to magnitude
  - Environment corrupts and changes phase
- 2 (pseudo-3) dimensional plots easy to view

**Phase is important, however**

- Especially for transients (attacks, consonants, etc.)

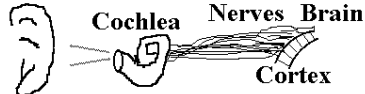
**If we know instantaneous amplitude and frequency, we can derive phase**



## Views of Sound: Perception

**Human sound perception:**

**Ear**



Ear: receive 1-D waves

**Cochlea**

Cochlea: convert to frequency dependent nerve firings

**Nerves**

**Brain**

**Cortex**

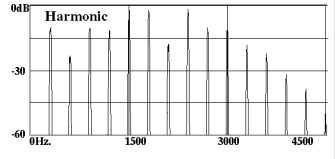
Auditory cortex: further refine time & frequency information

Brain: Higher level cognition, object formation, interpretation

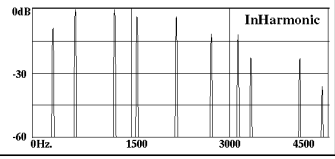
## Common Types of Spectra



**Harmonic**  
sines at integer  
multiple freqs.



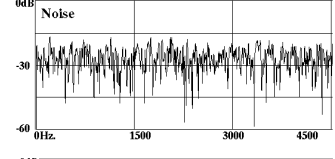
**Inharmonic**  
sines (modes),  
but not integer  
multiples



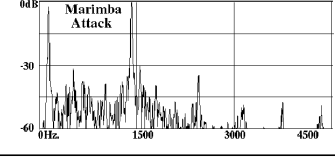
## Common Types of Spectra



**Noise**  
random  
amplitudes  
and phases



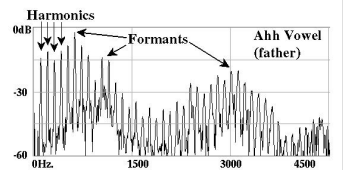
**Mixtures**  
(most real-  
world sounds)



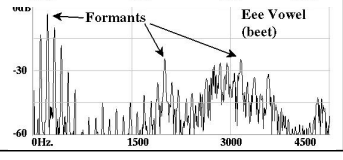
## Perception: Spectral Shape



**Formants**  
(resonances)  
are peaks in  
spectrum.



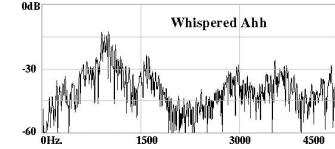
Human ear is  
sensitive to  
these peaks.



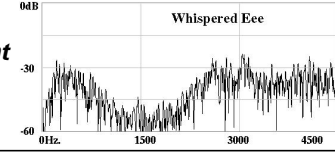
## Spectral Shape and Timbre



Quality of a  
sound is  
determined by  
many factors



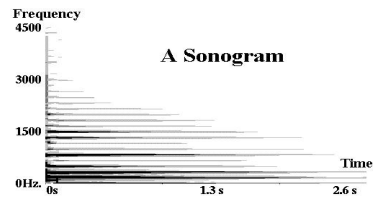
Spectral shape  
is one important  
attribute



## Spectra Vary in Time



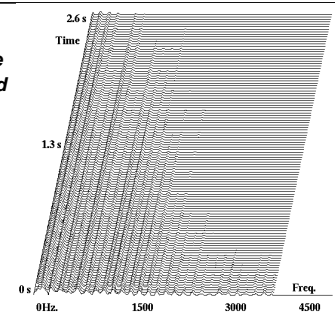
**Spectrogram (sonogram)**  
amplitude as darkness (color)  
vs. frequency and time



## Spectra in Time (cont.)



**Waterfall Plot**  
pseudo 3-d amplitude  
as height vs. freq. and  
time



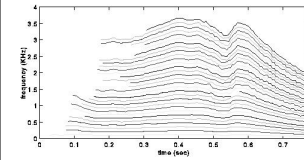
Each horizontal slice  
is an amplitude vs.  
time magnitude  
spectrum

## Sinusoidal Modeling

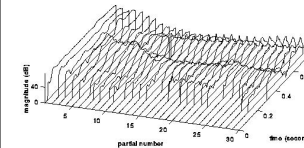


<b>Vocoders</b>	<i>Dudley '39, Many more since</i>
<b>Sinusoidal Models</b>	<i>Macaulay and Quatieri '86</i>
<b>SANSY/SMS Sines + Stochastic</b>	<i>Serra and Smith '87</i>
<b>Lemur</b>	<i>Fitts and Hakken '92</i>
<b>FFT<sup>-1</sup></b>	<i>Freed, Rodet and Depalle '96</i>
<b>Transients</b>	<i>Verma, Meng '98</i>

## Sinusoidal Analysis "Tracks"



frequency of partials

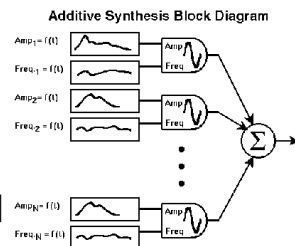


magnitude of partials

## Additive Synthesis



**Control the amplitude and frequency of a set of oscillators**



The sinusoidal model:

$$s(t) = \sum_{r=1}^R A_r(t) \cos[\theta_r(t)]$$

$R$ : number of sinewave components,  
 $A_r(t)$ : instantaneous amplitude,  
 $\theta_r(t)$ : instantaneous phase

## References: Spectral Models



Dudley, H. 1939, "The Vocoder," Bell Laboratories Record, December.

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