

Historical Overview of Audio Spectral Modeling

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Music 421 Applications Lecture

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Outline

Telharmonium

Voder

Channel Vocoder

Phase Vocoder

Additive Synthesis

FM Synthesis

Sinusoidal Modeling

Spectrogram Synth

DDSP

Future

Milestones in Audio Spectral Modeling

- Fourier's theorem (1822)
- Telharmonium (1898)
- Voder (1920s)
- Vocoder (1920s)
- Hammond Organ (1930s)
- Phase Vocoder (1966)
- Digital Organ (1968)
- Additive Synthesis (1969)
- FM Brass Synthesis (1970)
- Synclavier 8-bit FM/Additive synthesizer (1975)
- FM singing voice (1978)
- Sinusoidal Modeling (1985)
- Sines + Noise (1988)
- Sines + Noise + Transients (1988,1996,1998,2000)
- Inverse FFT synthesis (1992)
- Spectrogram Synthesis (2017)
- Future Directions



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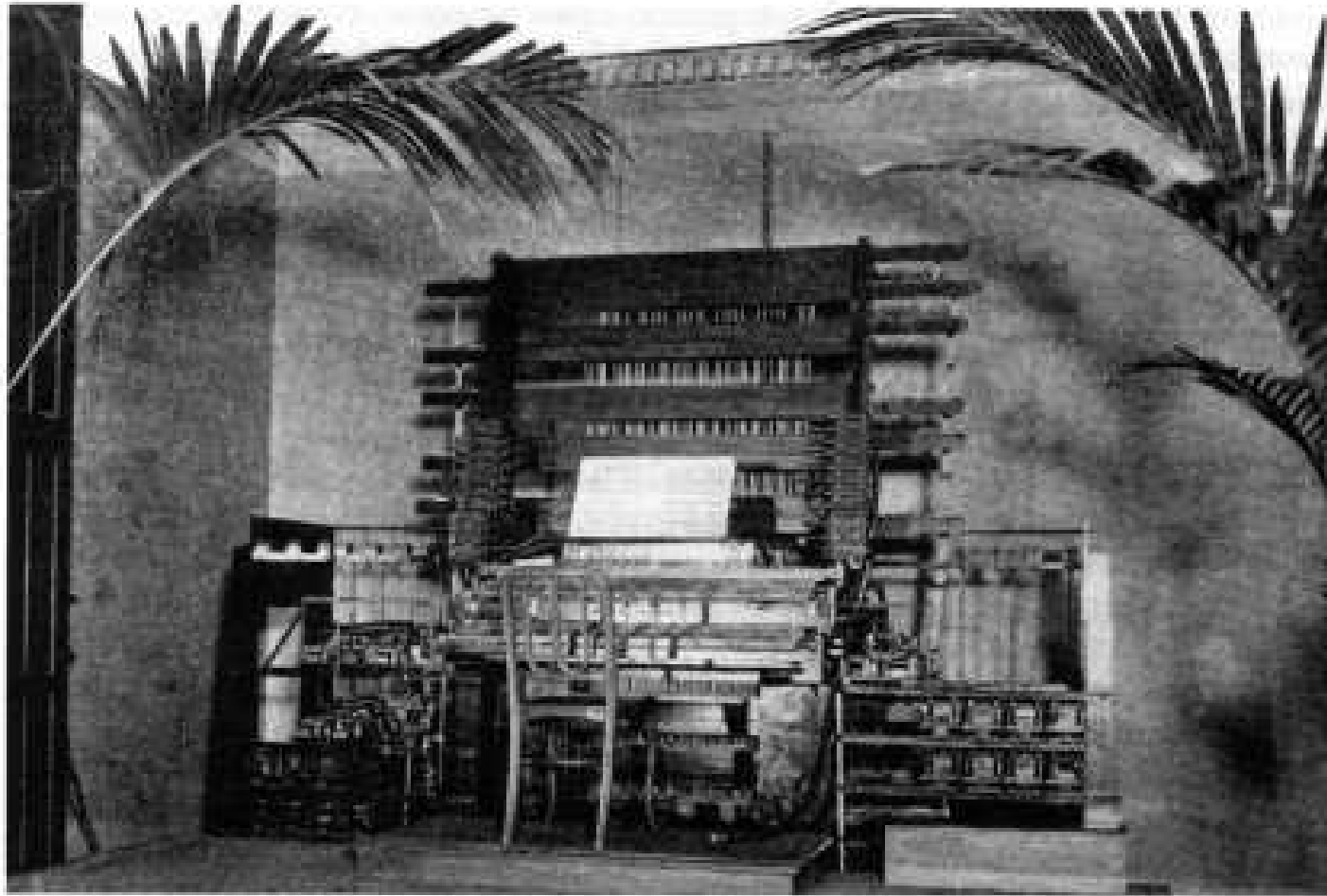
Future

Telharmonium (1898)

Telharmonium (Cahill 1898)

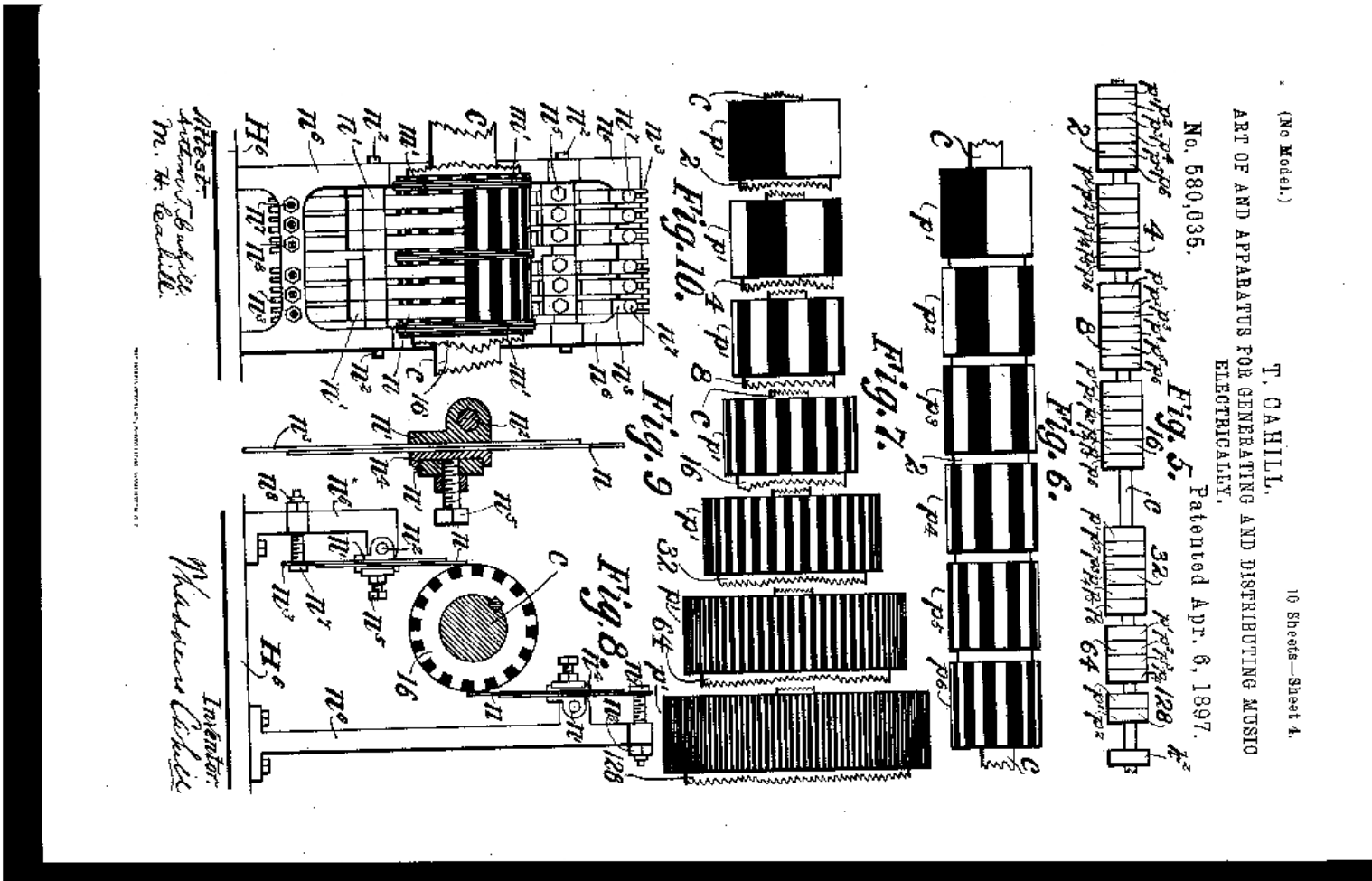
U.S. patent 580,035:

“Art of and Apparatus for Generating and Distributing Music Electrically”



Telharmonium Rheotomes

Forerunner of the Hammond Organ Tone Wheels



Telharmonium Rotor (early “Tonewheel”)



Hammond influenced: <https://en.wikipedia.org/wiki/Tonewheel>



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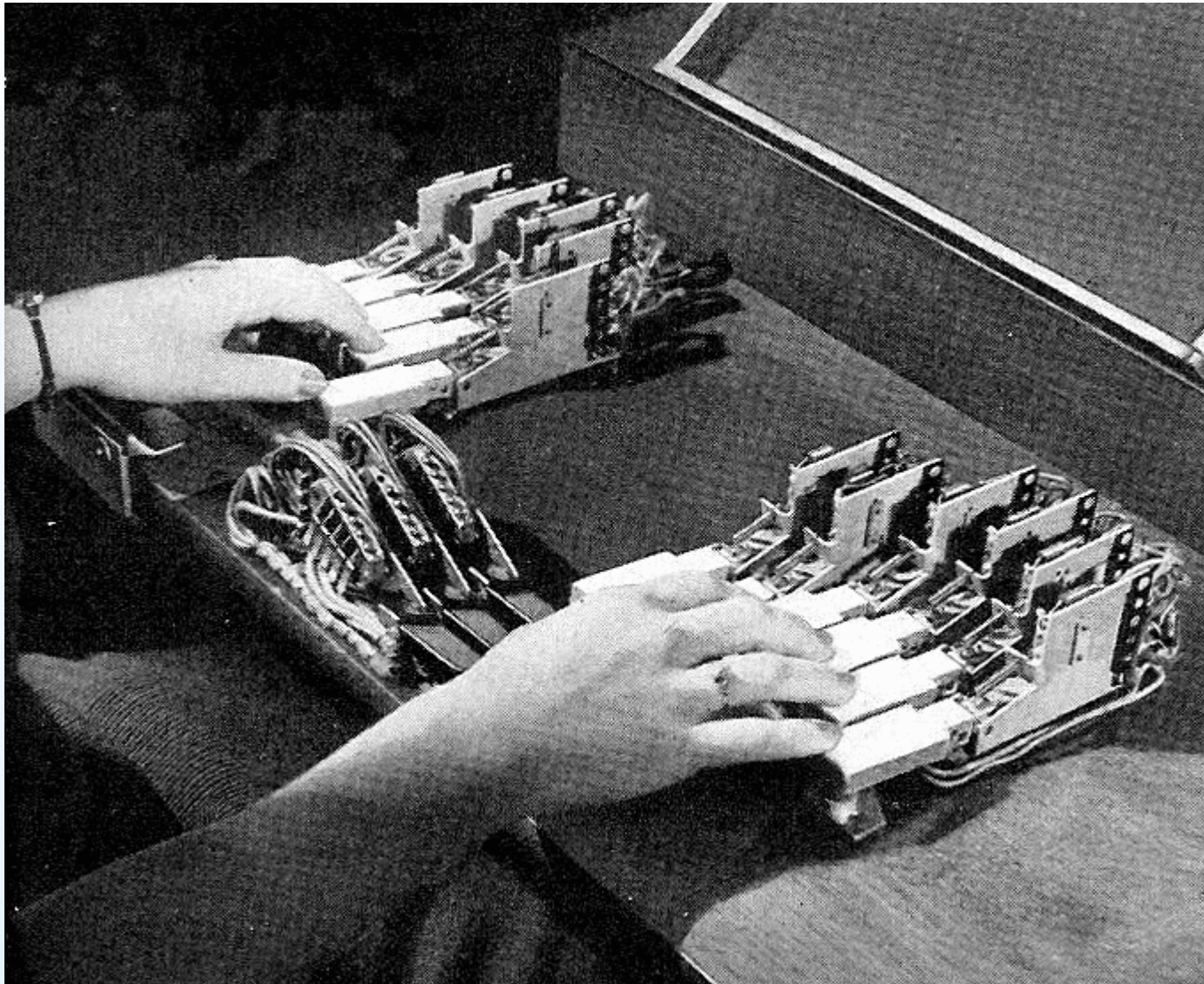
Spectrogram Synth

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Future

The Voder (1939)

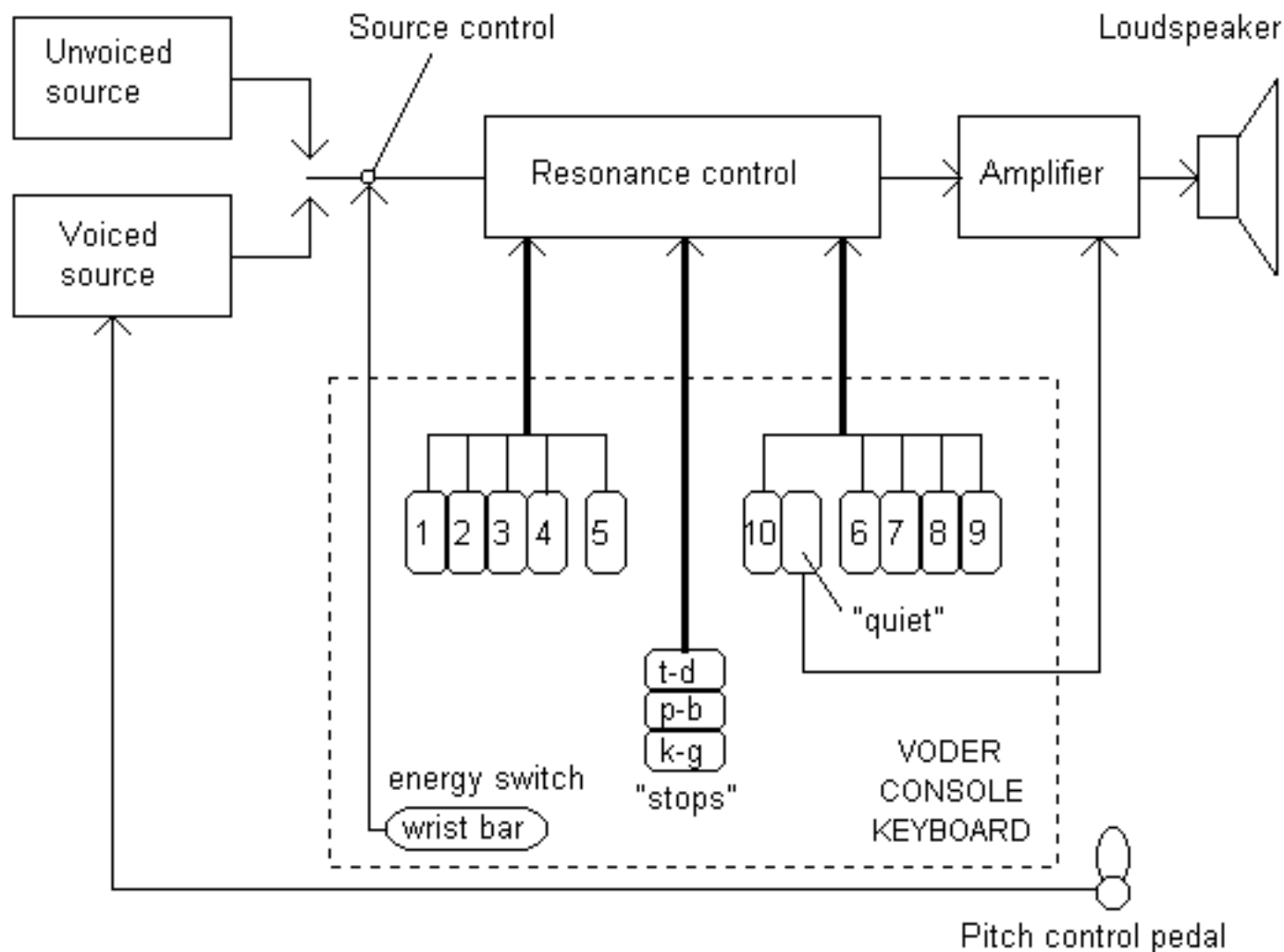
The Voder (Homer Dudley — 1939 Worlds Fair)



>

<http://davidszondy.com/future/robot/voder.htm>

Voder Keyboard



http://www.acoustics.hut.fi/publications/files/theses/lemmetty_mst/chap2.html — (from Klatt 1987)

Voder Schematic

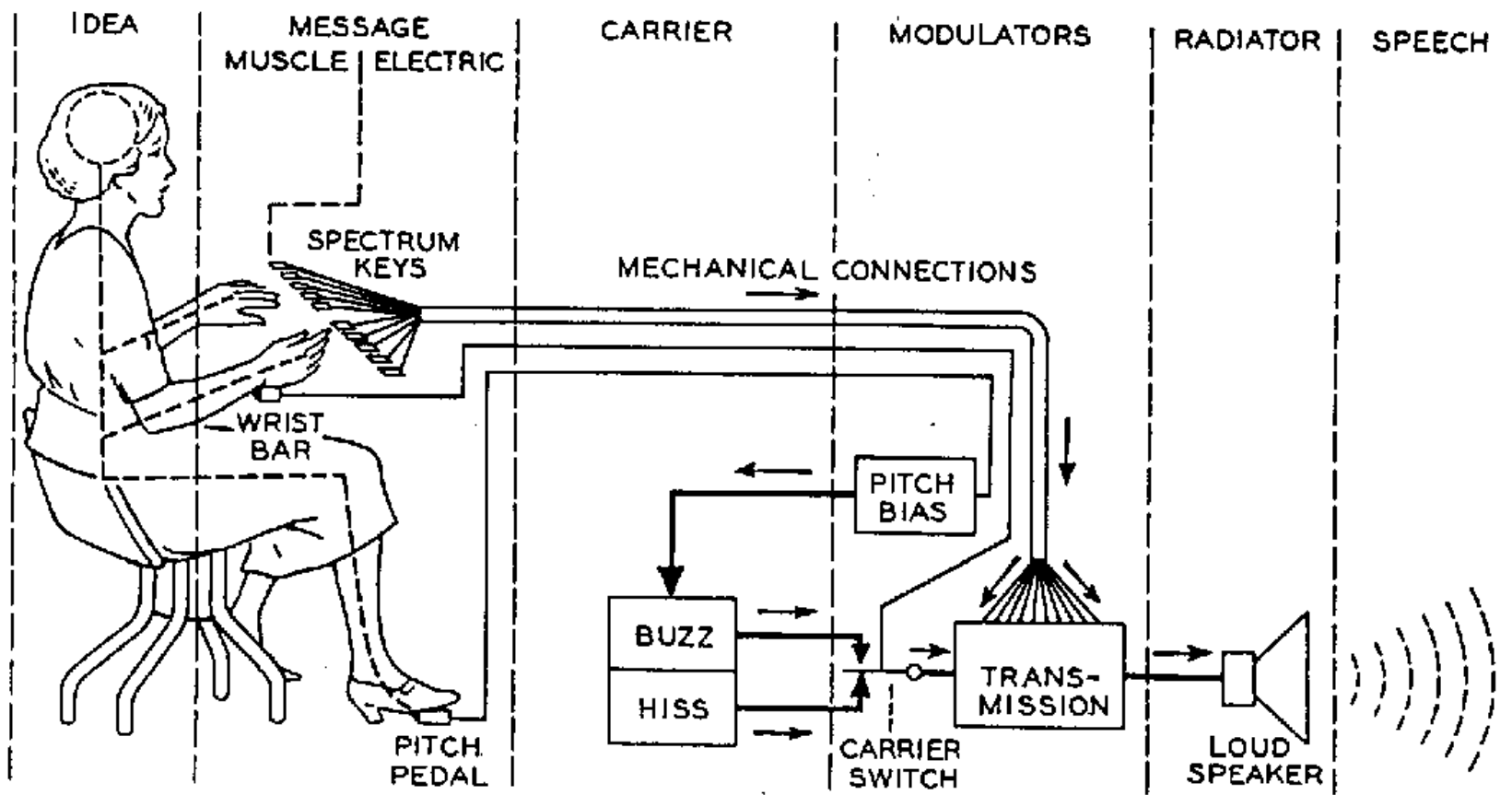


Fig. 8—Schematic circuit of the voder.

<http://ptolemy.eecs.berkeley.edu/~eal/audio/voder.html>



Voder Demos

- Video
- Audio

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[Telharmonium](#)

[Voder](#)

- Voder Keyboard
- Voder Schematic
- **Voder Demos**

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The Channel Vocoder (1928) ("Voice Coder")



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Vocoder Analysis & Resynthesis (Dudley 1928)

Analysis:

- Ten analog bandpass filters between 250 and 3000 Hz:
Bandpass → rectifier → lowpass filter → *amplitude envelope*
- Voiced/Unvoiced decision made
- Fundamental frequency F_0 measured for voiced case

Synthesis:

- Ten matching bandpass filters driven by a
 - “buzz source” (voiced), or
 - “hiss source” (unvoiced)
- Bands were scaled by amplitude envelopes and summed
- Said to have an “unpleasant electrical accent”

Related Speech Models:

- The Vocoder is an early *source-filter* model for speech
- *Linear Predictive Coding* (LPC) of speech is another



Vocoder Filter Bank Analysis/Resynthesis

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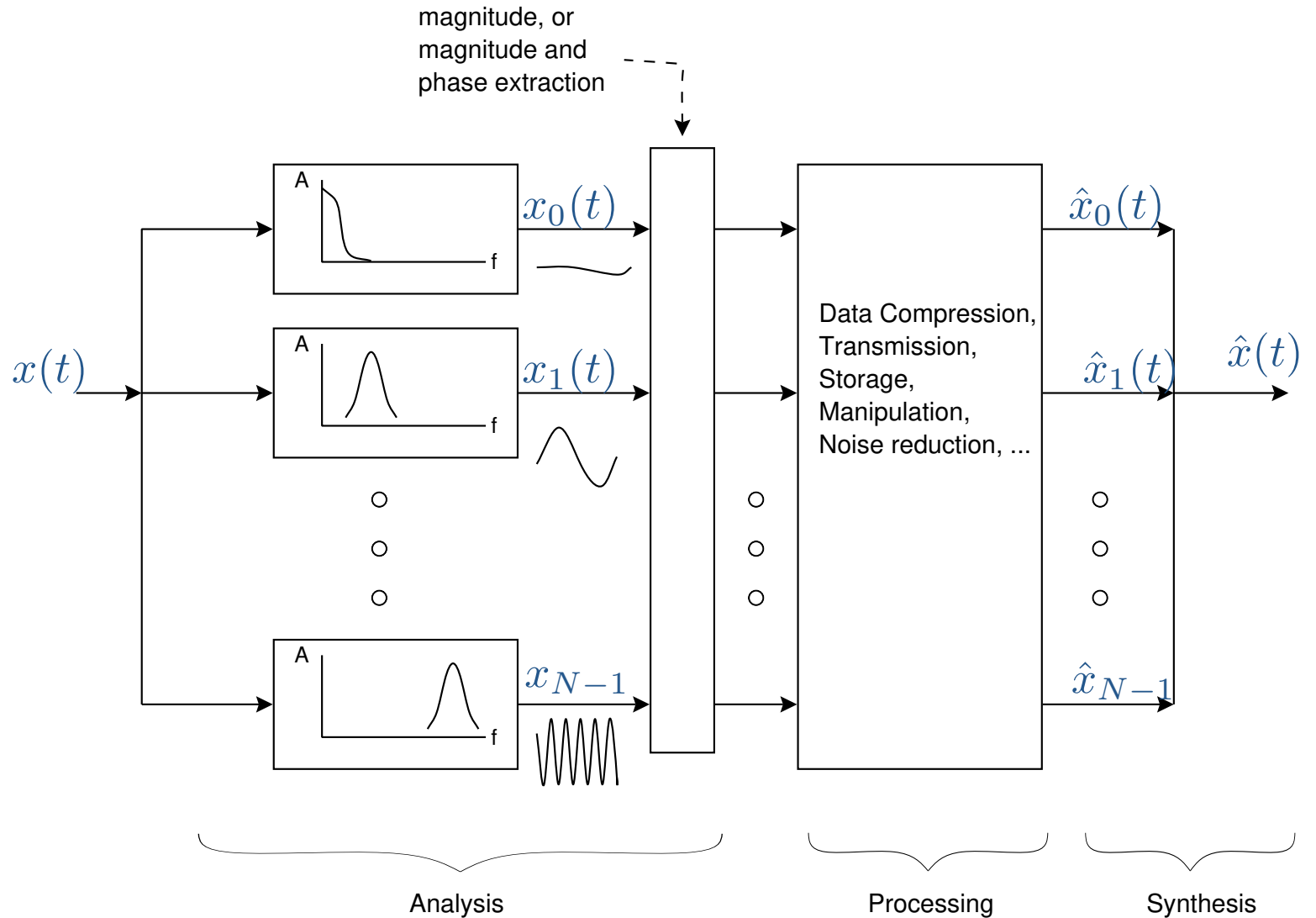
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Channel Vocoder Sound Examples

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● Vocoder Examples

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- Original
- 10 channels, sine carriers
- 10 channels, narrowband-noise carriers
- 26 channels, sine carriers
- 26 channels, narrowband-noise carriers
- 26 channels, narrowband-noise carriers, channels reversed
- **Phase Vocoder:** Identity system in absence of modifications
- The FFT Phase Vocoder next transitioned to the Short-Time Fourier Transform (STFT) (Allen and Rabiner 1977)



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The Phase Vocoder (1966)



Phase Vocoder Analysis for Additive Synthesis (1976)

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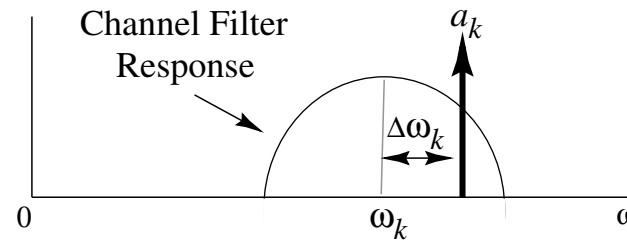
Sinusoidal Modeling

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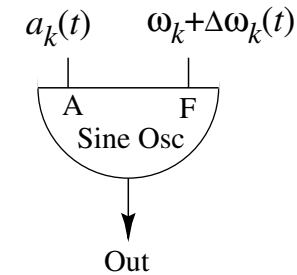
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Future

Analysis Model



Synthesis Model



- Early “channel vocoder” implementations (hardware) only measured amplitude $a_k(t)$ (Dudley 1939)
- The “phase vocoder” (Flanagan and Golden 1966) added phase tracking in each channel
- Portnoff (1976) developed the FFT phase vocoder, which replaced the heterodyne comb in computer-music additive-synthesis analysis (James A. Moorer)
- Inverse FFT synthesis (Rodet and Depalle 1992) gave faster sinusoidal oscillator banks



Amplitude and Frequency Envelopes

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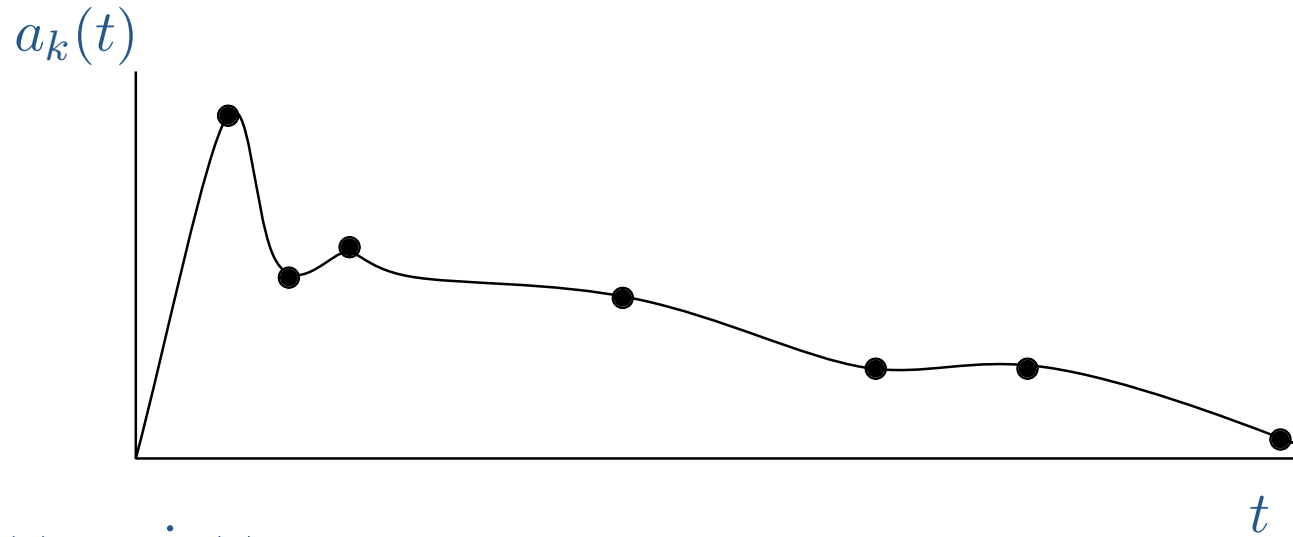
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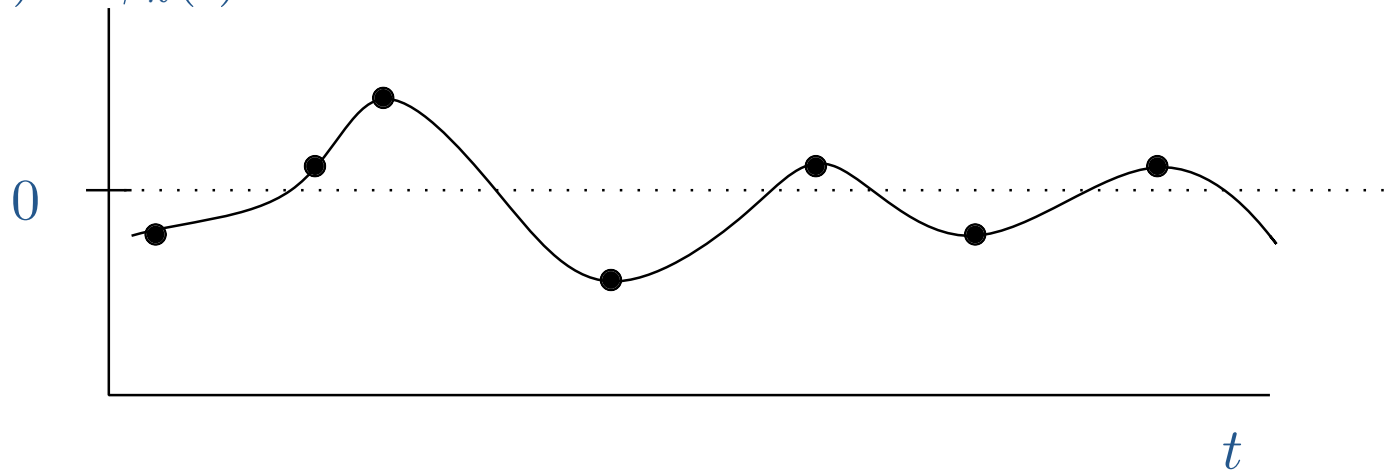
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$$\Delta\omega_k(t) = \dot{\phi}_k(t)$$





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Additive Synthesis (1969)



Classic Additive-Synthesis Analysis (Heterodyne Comb)

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Additive Synthesis

● Additive Analysis

● Additive Synthesis

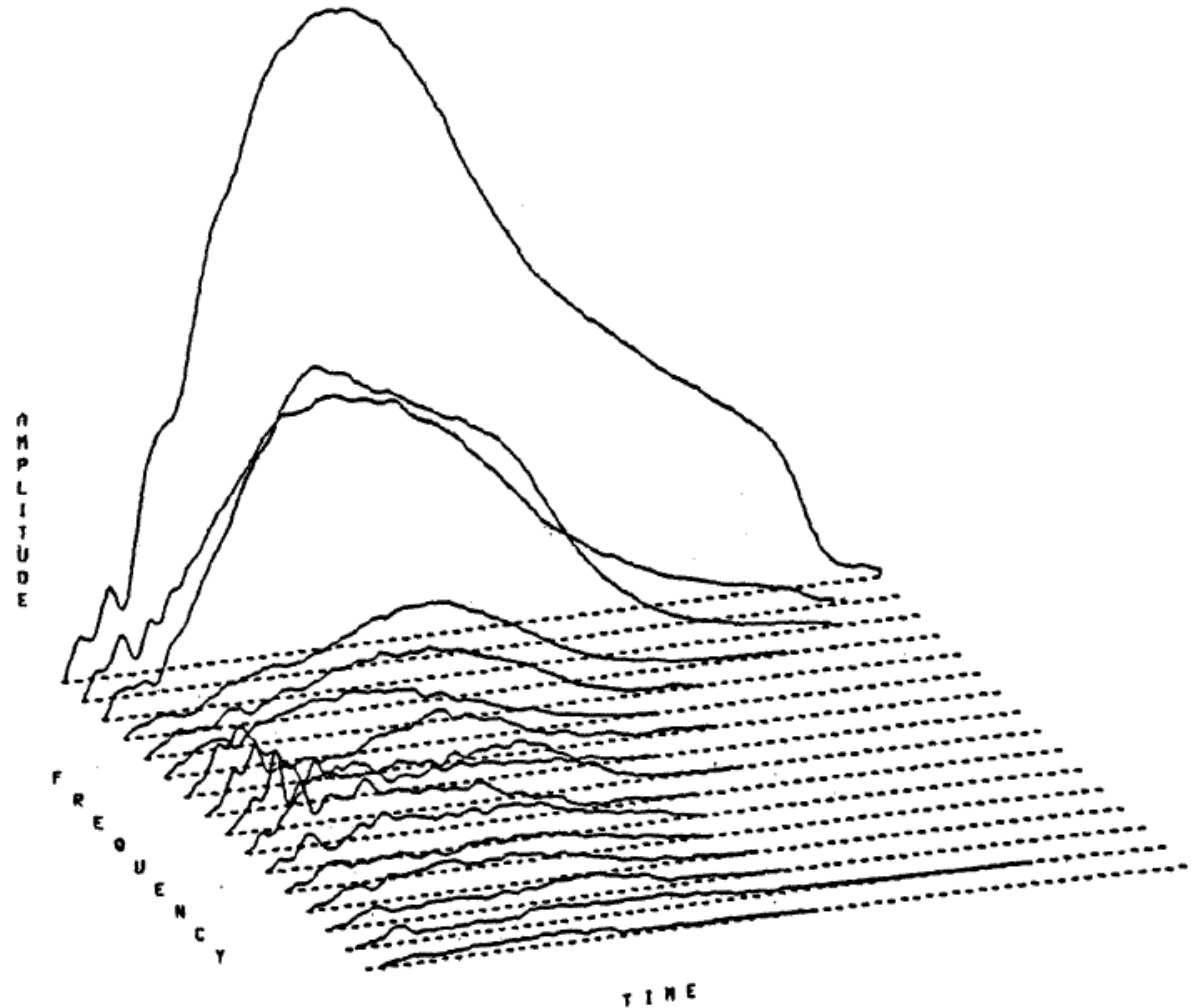
FM Synthesis

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John Grey 1975 — CCRMA Tech. Reports 1 & 2
(CCRMA “STANM” reports — available online)



Classic Additive-Synthesis (Sinusoidal Oscillator Envelopes)

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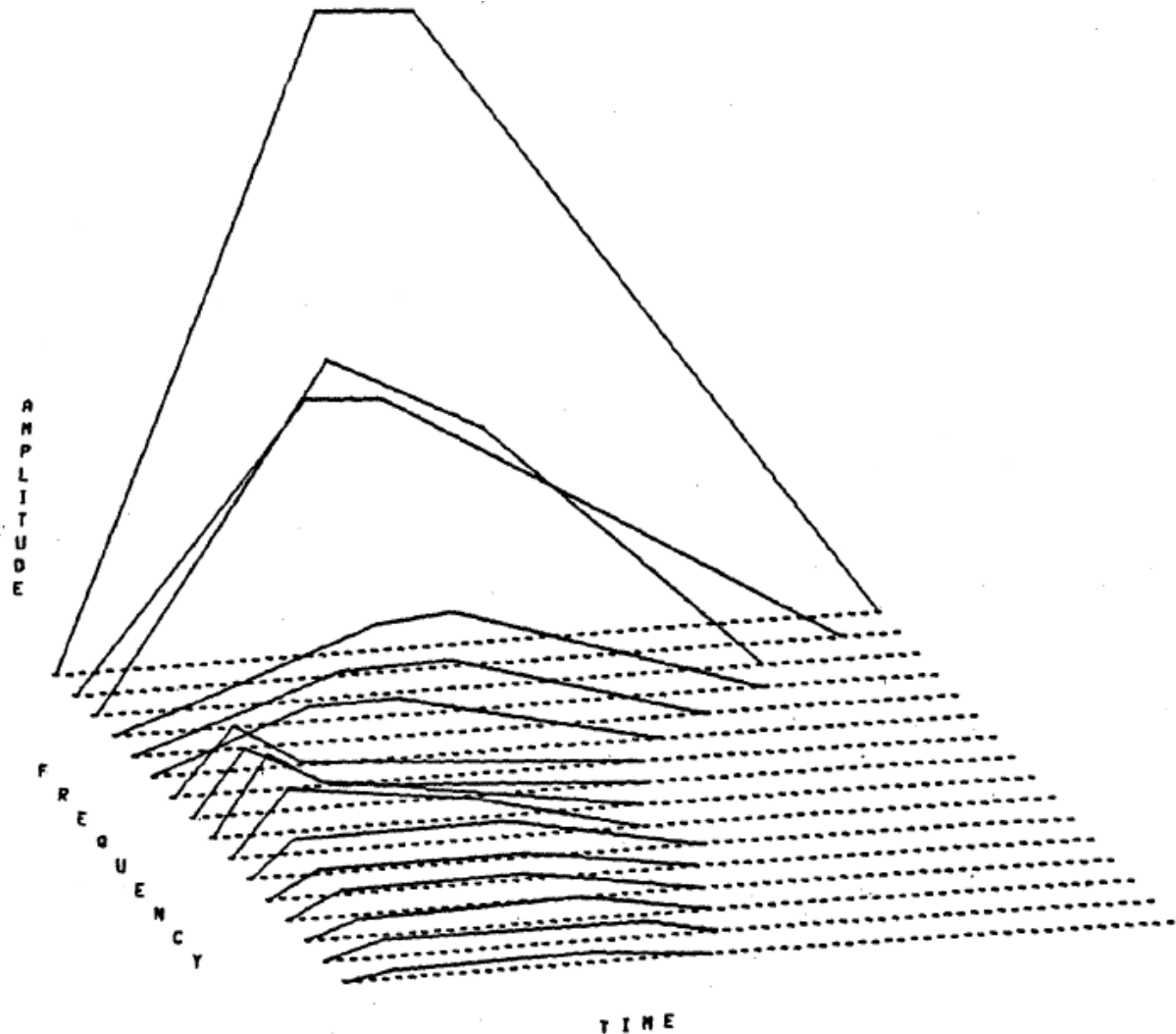
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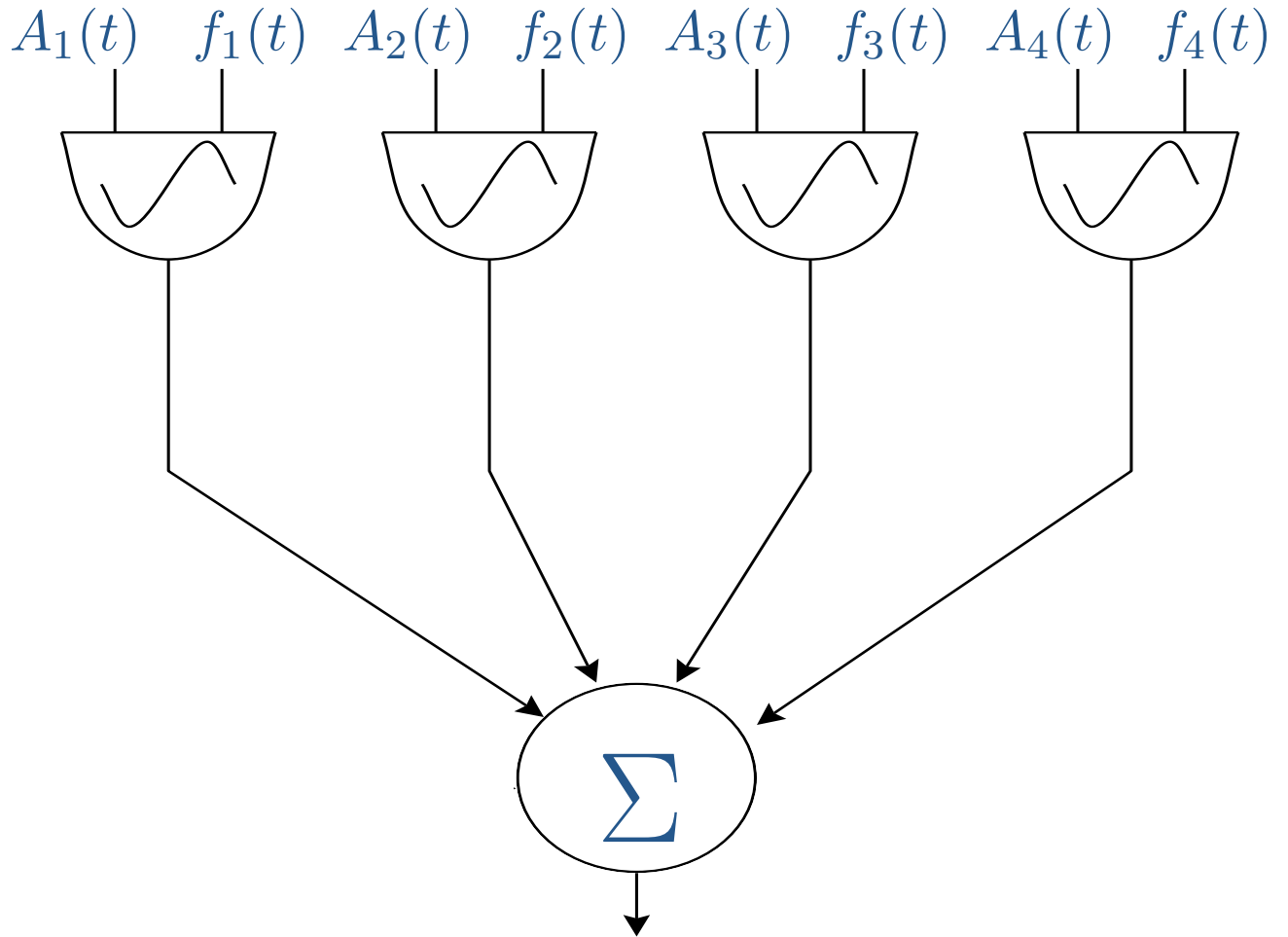
Future



John Grey 1975 — CCRMA Tech. Reports 1 & 2
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Classic Additive Synthesis Diagram (Computer Music, 1960s)



$$y(t) = \sum_{i=1}^4 A_i(t) \sin \left[\int_0^t \omega_i(t) dt + \phi_i(0) \right]$$

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Classic Additive-Synthesis Examples

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- Additive Analysis
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- Bb Clarinet
- Eb Clarinet
- Oboe
- Bassoon
- Tenor Saxophone
- Trumpet
- English Horn
- French Horn
- Flute

- All of the above
- Independently synthesized set

(Synthesized from original John Grey data)



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Frequency Modulation Synthesis (1973)



Frequency Modulation (FM) Synthesis

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FM Synthesis

● FM Synthesis

● FM Formula

● FM Patch

● FM Spectra

● FM Examples

● FM Voice

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FM synthesis is normally used as a *spectral modeling* technique

- Discovered and developed (1970s) by John M. Chowning (CCRMA Founding Director)
- Key paper: JAES 1973 (vol. 21, no. 7)
- Commercialized by Yamaha Corporation:
 - DX-7 synthesizer (1983)
 - OPL chipset (SoundBlaster PC sound card)
 - Cell phone ring tones

-
- On the physical modeling front, synthesis of vibrating-string waveforms using *finite differences* started around this time: Hiller & Ruiz, JAES 1971 (vol. 19, no. 6)



FM Formula

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$$x(t) = A_c \sin[\omega_c t + \phi_c + A_m \sin(\omega_m t + \phi_m)]$$

where

(A_c, ω_c, ϕ_c) specify the *carrier* sinusoid

(A_m, ω_m, ϕ_m) specify the *modulator* sinusoid

Can also be called *phase modulation*



Simple FM “Brass” Patch (Chowning 1970–)

Jean-Claude Risset observation (1964–1969):
Brass bandwidth \propto amplitude

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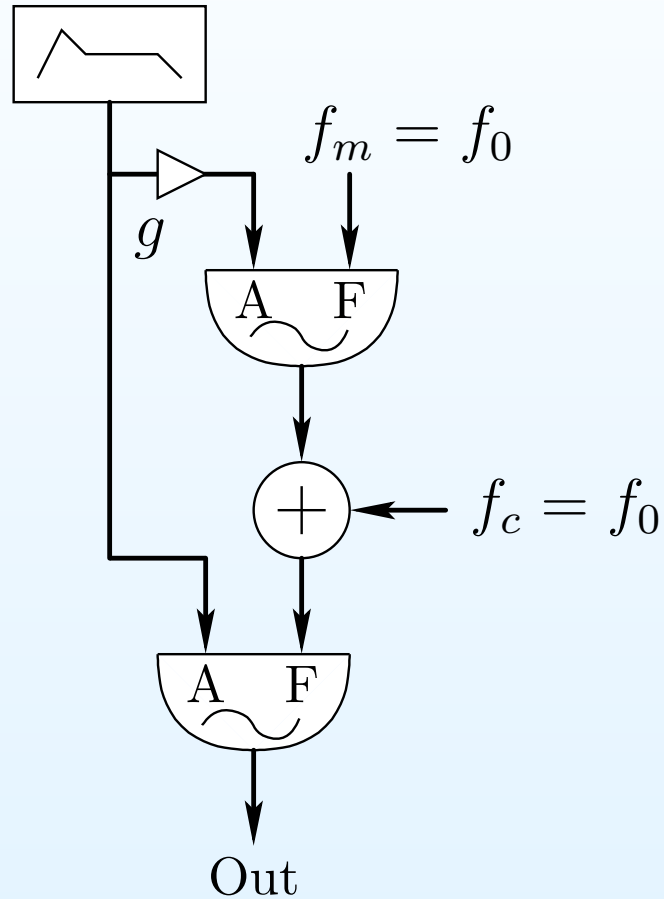
● FM Voice

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FM Harmonic Amplitudes (Bessel Function of First Kind)

Harmonic number k , FM index β :

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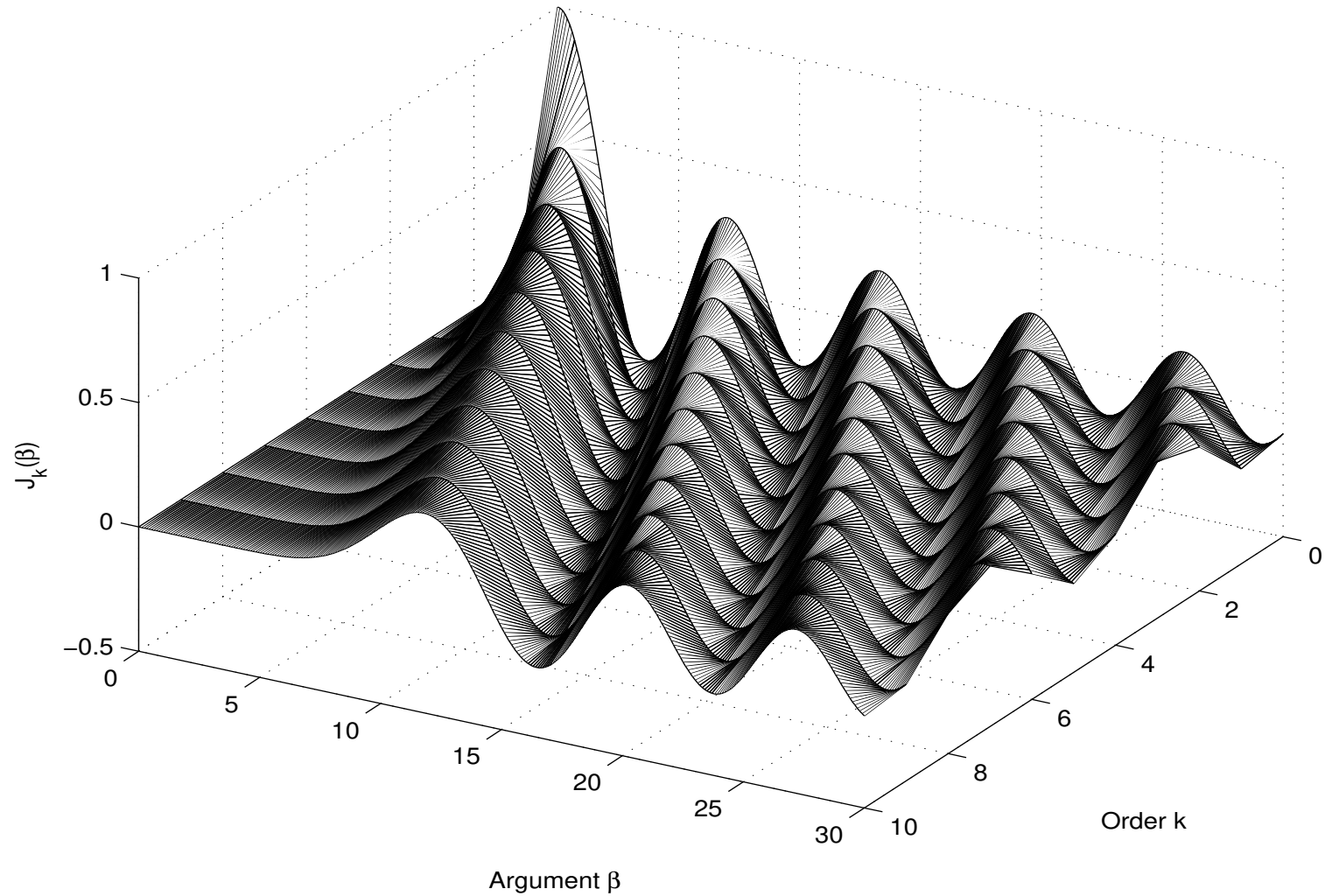
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Frequency Modulation (FM) Examples

All examples by John Chowning unless otherwise noted:

- FM brass synthesis
 - Low Brass example
 - Dexter Morrill's FM Trumpet
- FM singing voice (1978)
Each formant synthesized using an FM operator pair (two sinusoidal oscillators)
 - Chorus
 - Voices
 - Basso Profundo
- Other early FM synthesis
 - Clicks and Drums
 - Big Bell
 - String Canon

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FM Voice

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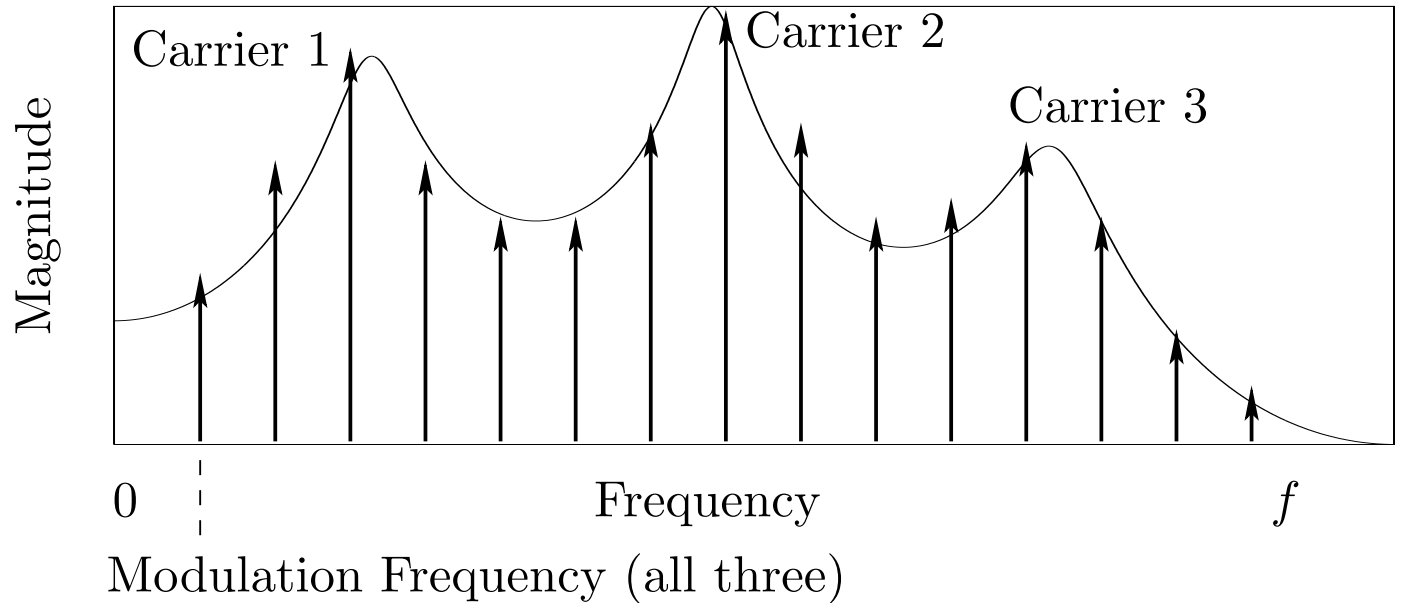
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FM voice synthesis can be viewed as *compressed modeling of spectral formants*





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Sinusoidal Modeling Synthesis (1988)



Tracking Spectral Peaks in the Short-Time Fourier Transform

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● Sines + Transients

● S + N + Transients

● S+N+T TSM

● S+N+T Freq Map

● S+N+T Windows

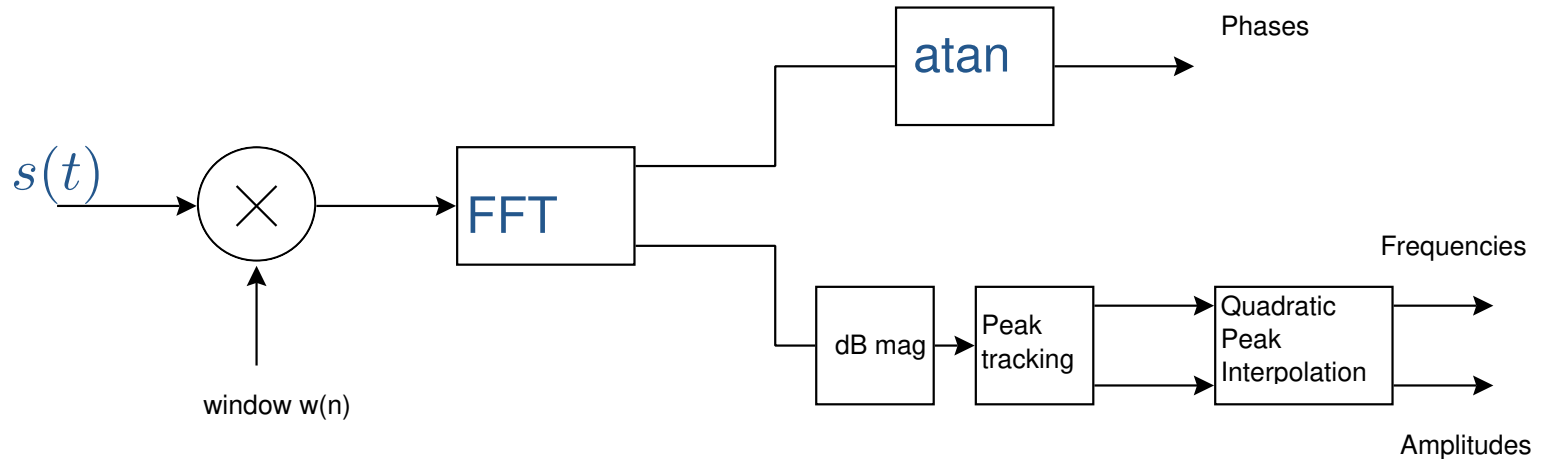
● HF Noise Modeling

● HF Noise Band

● S+N+T Examples

Spectrogram Synth

Johns Smith



- STFT peak tracking at CCRMA: mid-1980s (PARSHL program)
- Motivated by vocoder analysis of piano tones
- Influences: STFT (Allen and Rabiner 1977), ADEC (1977), MAPLE (1979)
- Independently developed for speech coding by McAulay and Quatieri at Lincoln Labs (1985)





Example Spectral Trajectories

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Channel Vocoder

Phase Vocoder

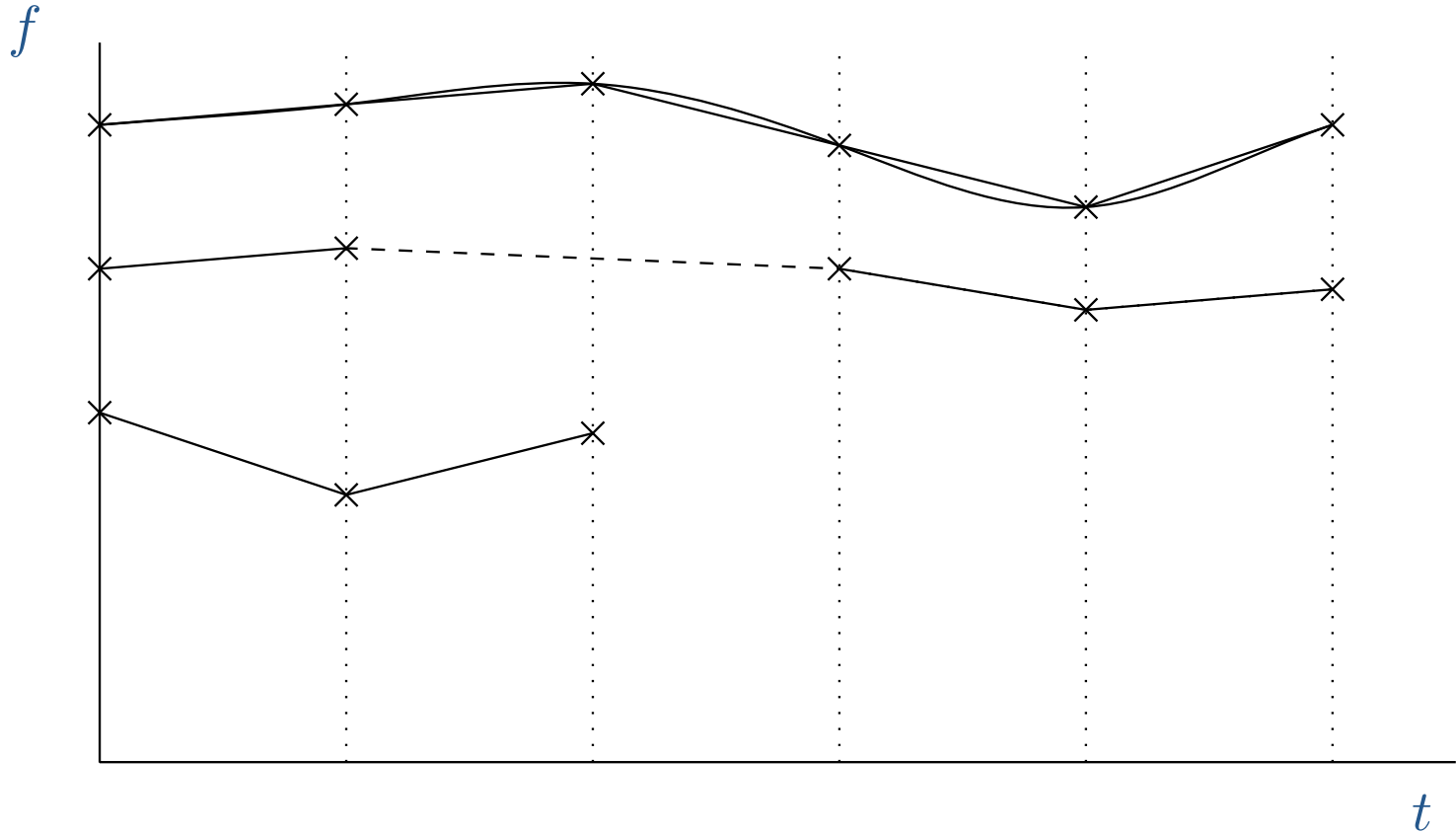
Additive Synthesis

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Spectrogram Synth





Parametric Spectral Modeling

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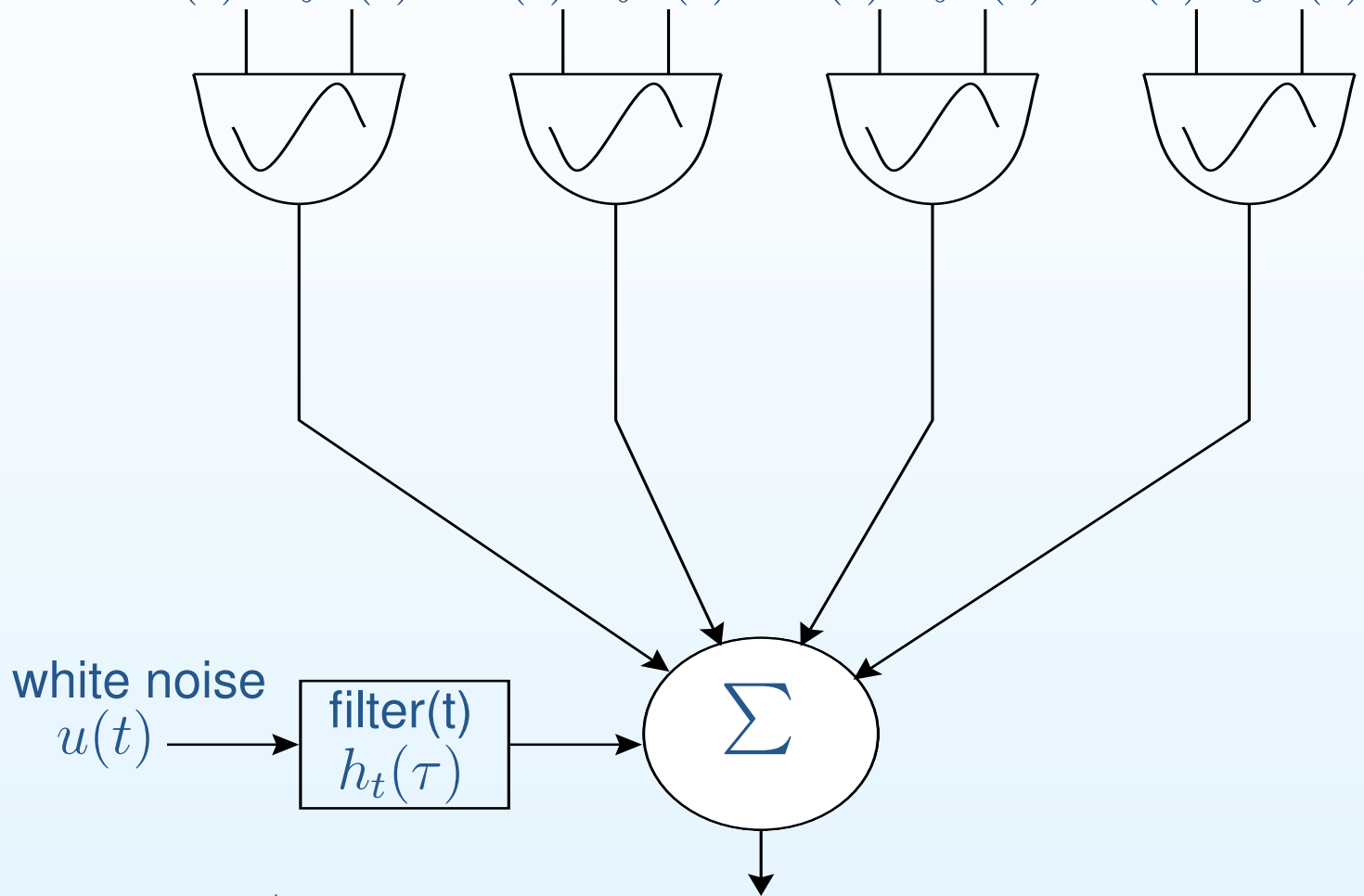
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Spectrogram Synth

Johns Smith

$A_1(t)$ $f_1(t)$ $A_2(t)$ $f_2(t)$ $A_3(t)$ $f_3(t)$ $A_4(t)$ $f_4(t)$



$$y(t) = \sum_{i=1}^4 A_i(t) \cos \left[\int_0^t \omega_i(t) dt + \phi_i(0) \right] + (h_t * u)(t)$$





Sines + Noise Sound Examples

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Spectrogram Synth

Johns Smith

Xavier Serra thesis demos (Sines + Noise signal modeling)

- Piano
 - Original
 - Sinusoids alone
 - Residual after sinusoids removed
 - Sines + noise model
- Voice
 - Original
 - Sinusoids
 - Residual
 - Synthesis





Musical Effects with Sines+Noise Models

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Spectrogram Synth

Johns Smith

- Piano Effects
 - Pitch downshift one octave
 - Pitch flattened
 - Varying partial stretching
- Voice Effects
 - Frequency-scale by 0.6
 - Frequency-scale by 0.4 and stretch partials
 - Variable time-scaling, deterministic to stochastic





Cross-Synthesis with Sines+Noise Models

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Spectrogram Synth

- Voice “modulator”
- Creaking ship’s mast “carrier”
- Voice-modulated creaking mast
- Same with modified spectral envelopes



Sines + Transients Sound Examples

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Spectrogram Synth

Johns Smith

In this technique, the sinusoidal sum is phase-matched at the cross-over point only (with no cross-fade).

- Marimba
 - Original
 - Sinusoidal model
 - Original attack, followed by sinusoidal model
- Piano
 - Original
 - Sinusoidal model
 - Original attack, followed by sinusoidal model





Multiresolution Sines + Noise + Transients

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Spectrogram Synth

Johns Smith

Why Model Transients Separately?

- Sinusoids efficiently model spectral *peaks* over time
- Filtered noise efficiently models spectral *residual* vs. t
- Neither is good for *abrupt transients* in the waveform
- Phase-matched oscillators are expensive
- More efficient to switch to a *transient model* during transients
- Need sinusoidal *phase matching* at the switching times

Transient models:

- Original waveform slice (1988)
- Wavelet expansion (Ali 1996)
- MPEG-2 AAC (with short window) (Levine 1998)
- Frequency-domain LPC
(time-domain amplitude envelope) (Verma 2000)





Time Scale Modification of Sines + Noise + Transients Models

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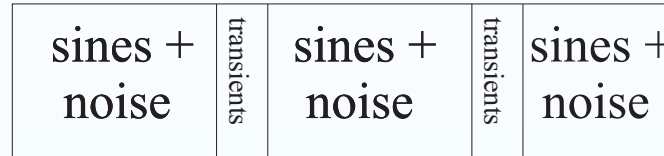
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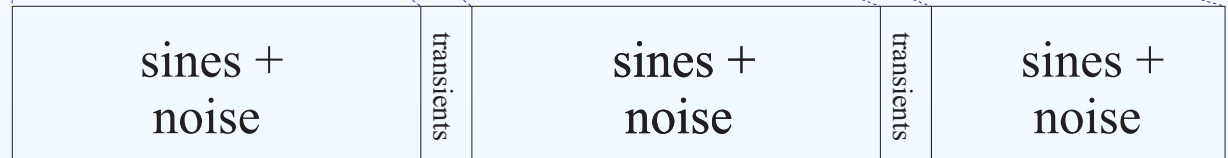
Spectrogram Synth

Johns Smith

original signal



time-scaled signal



Time-Scale Modification (TSM) becomes *well defined*:

- Transients are *translated* in time
- Sinusoidal envelopes are *scaled* in time
- Noise-filter envelopes also *scaled* in time
- Dual of TSM is *frequency scaling*





Sines + Noise + Transients Time-Frequency Map

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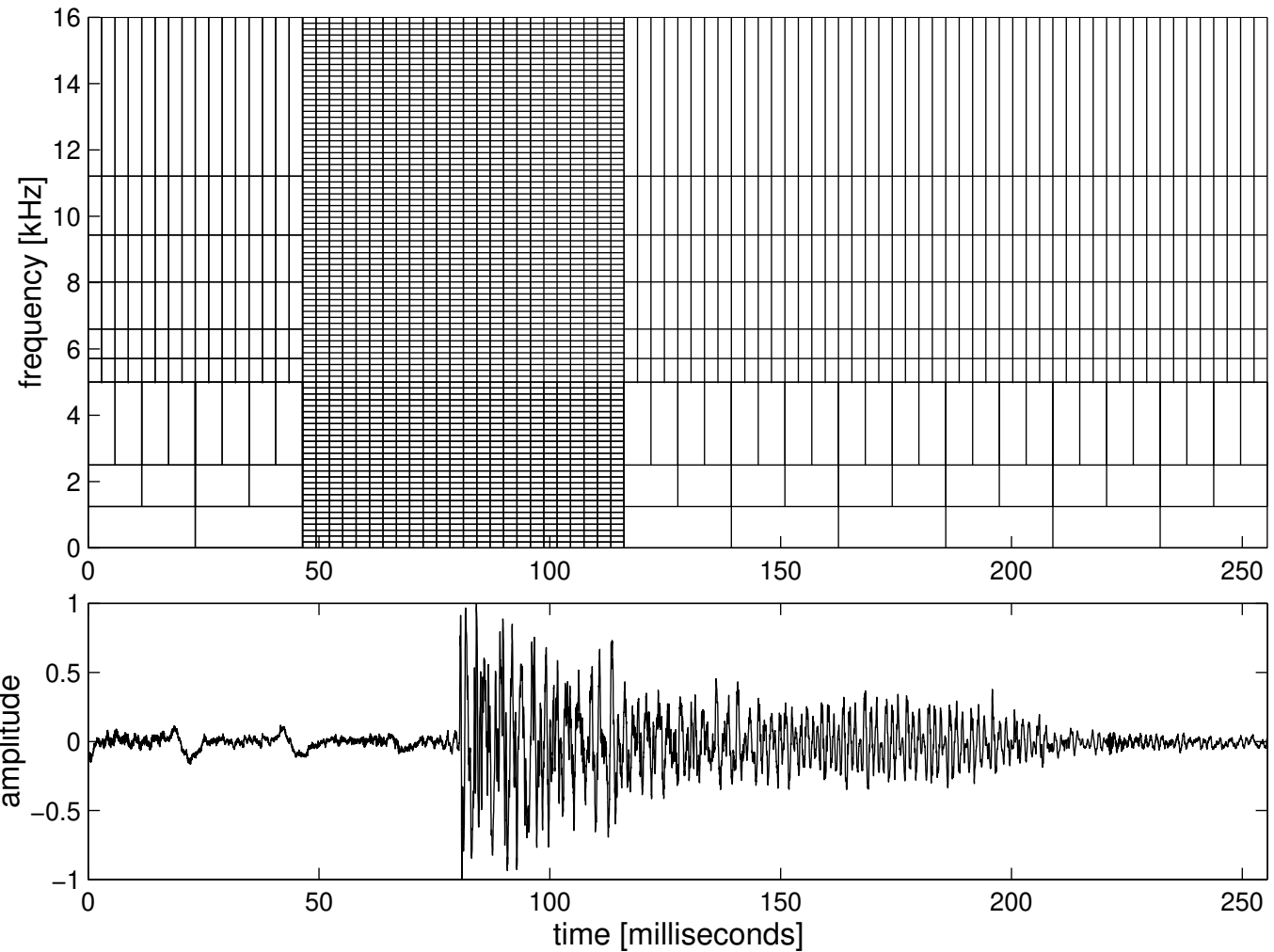
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Spectrogram Synth

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(Levine 1998)





Corresponding Analysis Windows

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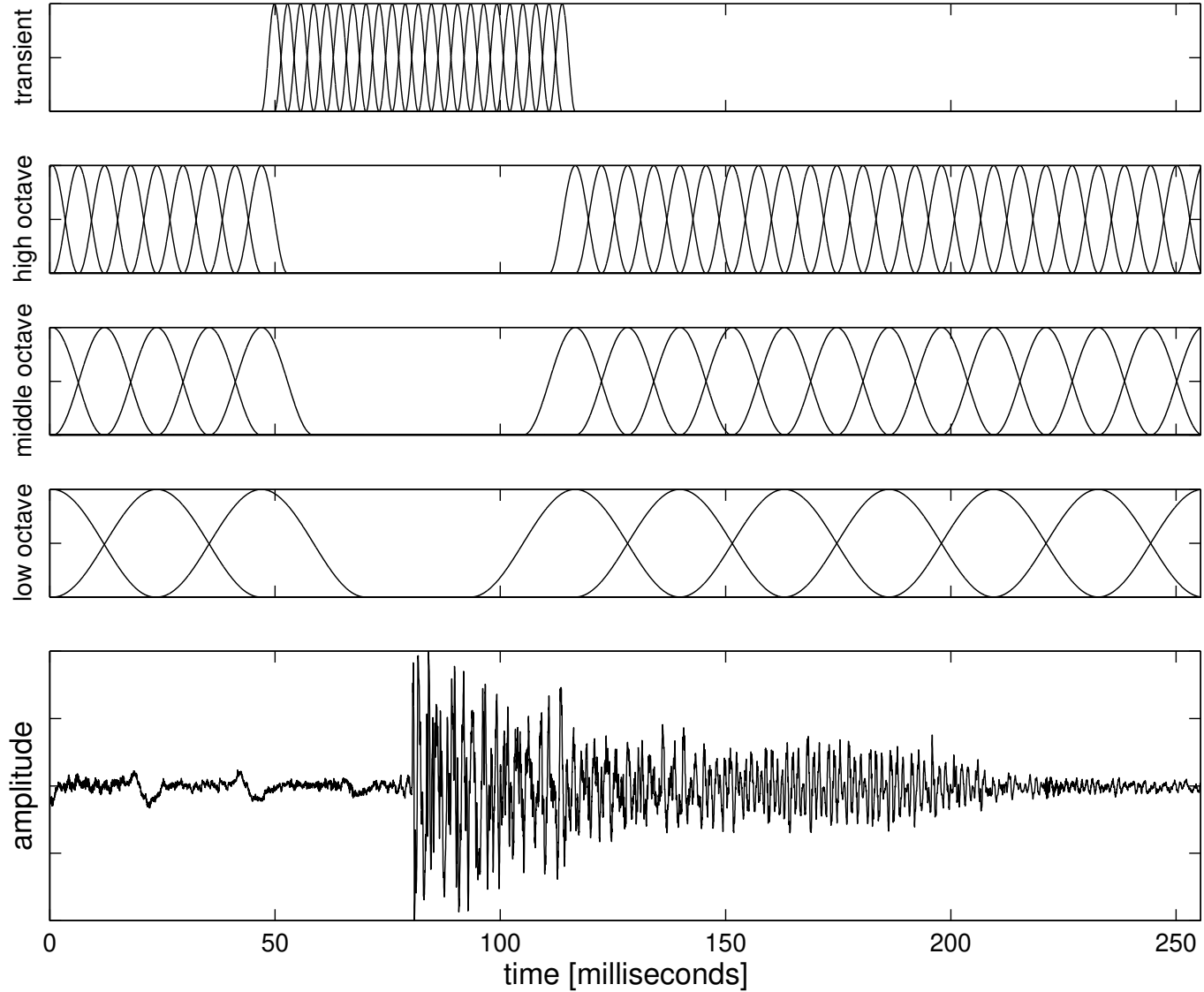
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Spectrogram Synth

Johns Smith





Quasi-Constant-Q (Wavelet) Time-Frequency Map

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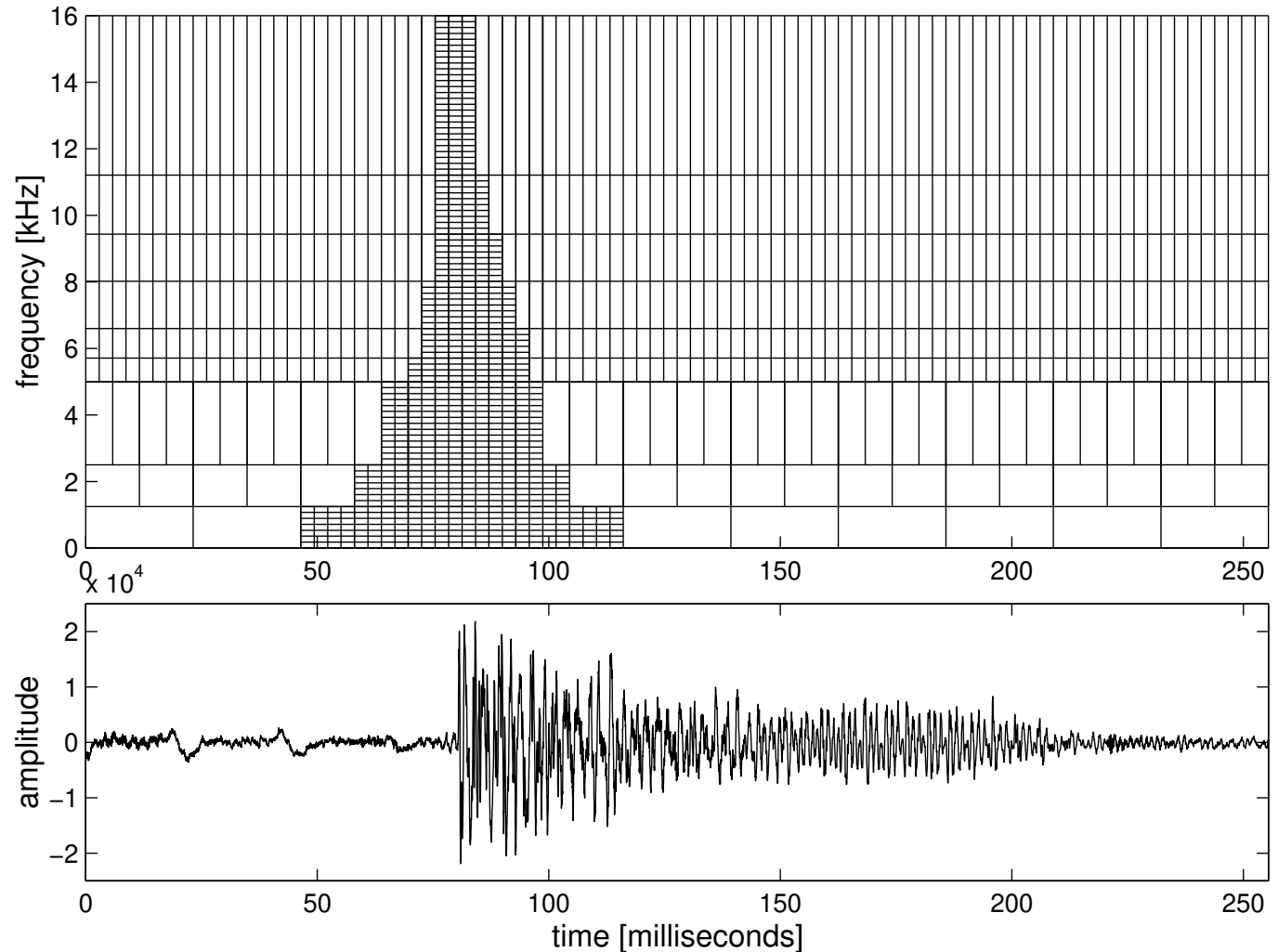
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- S+N+T TSM
- S+N+T Freq Map
- **S+N+T Windows**
- HF Noise Modeling
- HF Noise Band
- S+N+T Examples

Spectrogram Synth

Johns Smith





Bark-Band Noise Modeling (Levine 1998)

Outline

Telharmonium

Voder

Channel Vocoder

Phase Vocoder

Additive Synthesis

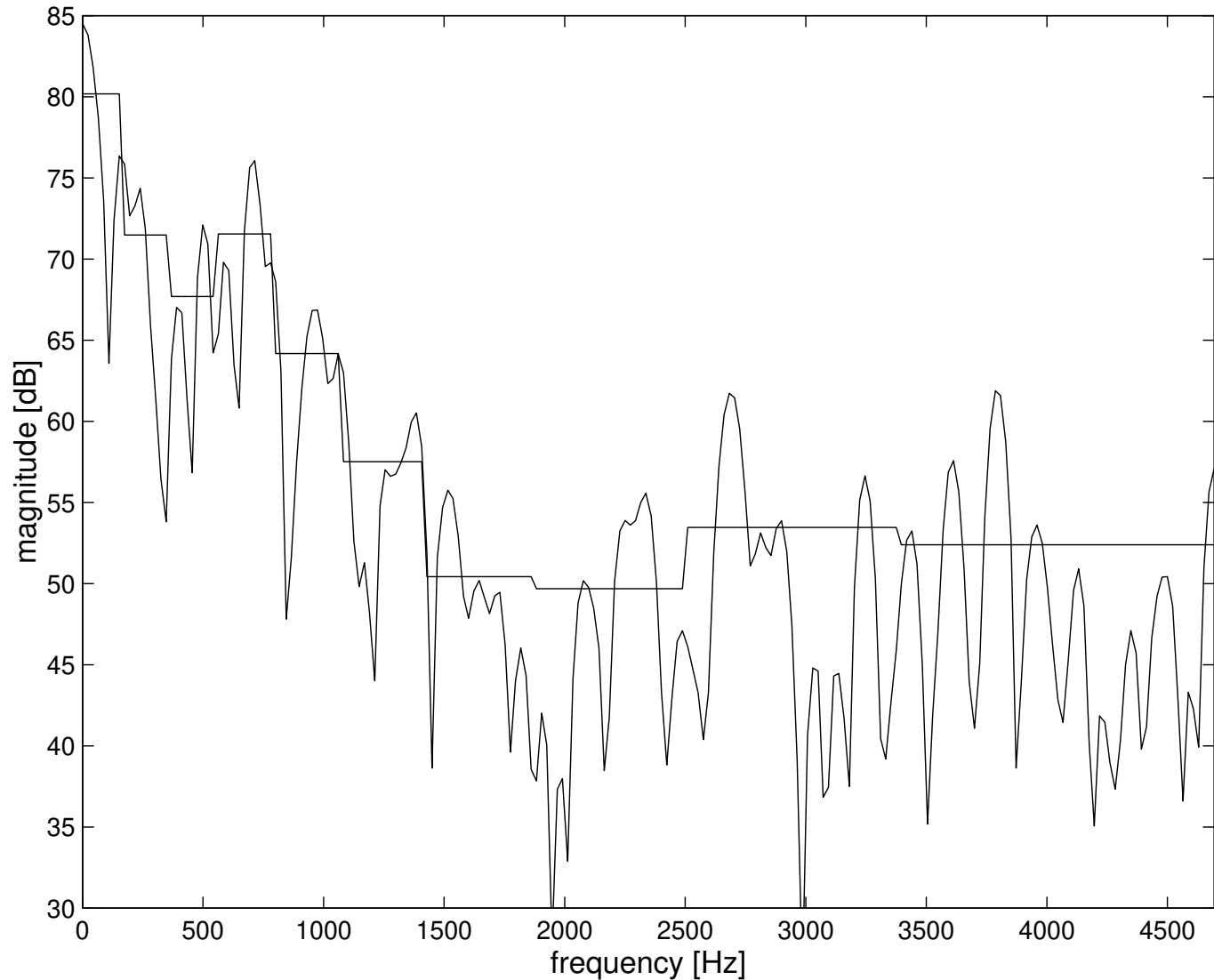
FM Synthesis

Sinusoidal Modeling

- Sinusoidal Modeling
- Spectral Trajectories
- Sines + Noise
- S+N Examples
- S+N FX
- S+N XSynth
- Sines + Transients
- S + N + Transients
- S+N+T TSM
- S+N+T Freq Map
- S+N+T Windows
- **HF Noise Modeling**
- HF Noise Band
- S+N+T Examples

Spectrogram Synth

Johns Smith





Amplitude Envelope for One Noise Band

Outline

Telharmonium

Voder

Channel Vocoder

Phase Vocoder

Additive Synthesis

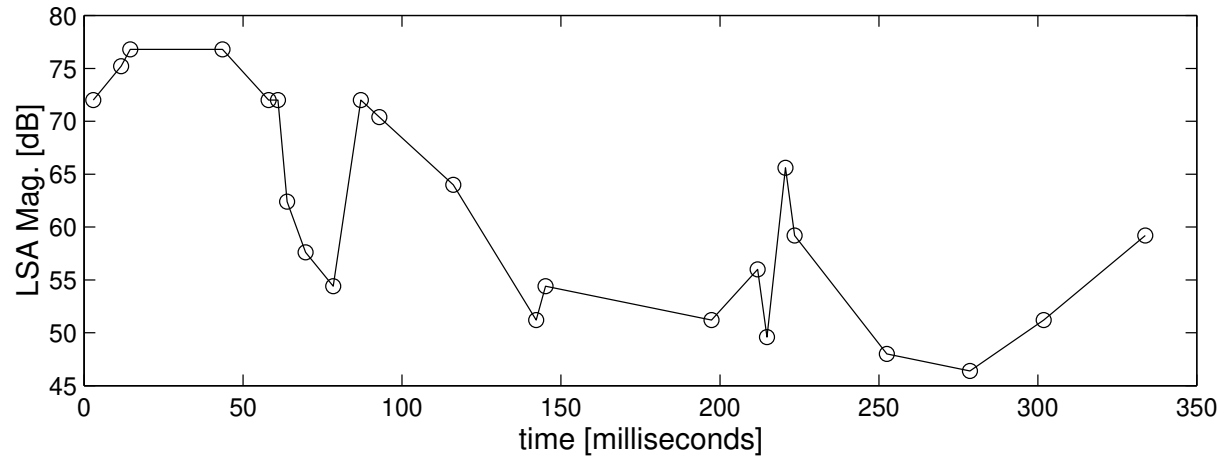
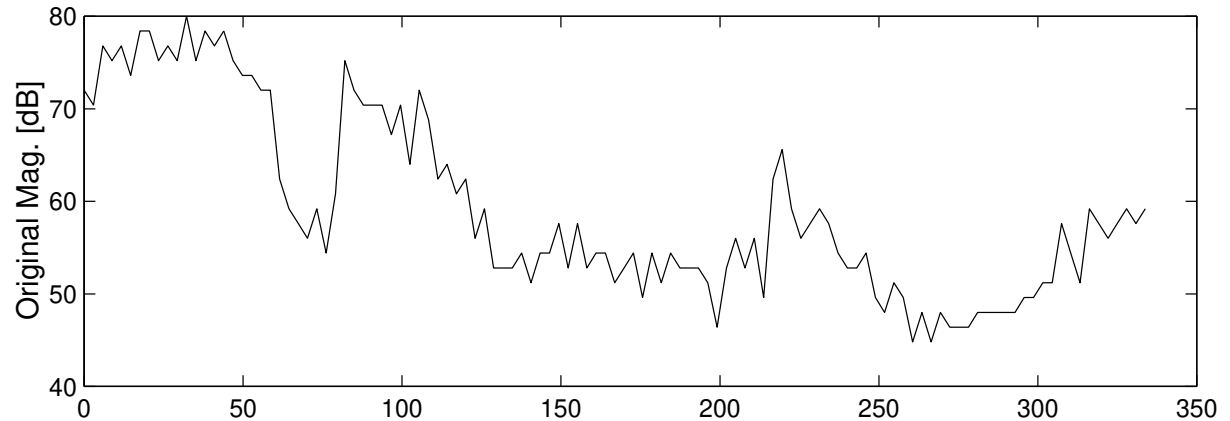
FM Synthesis

Sinusoidal Modeling

- Sinusoidal Modeling
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Spectrogram Synth

Johns Smith





Sines + Noise + Transients Sound Examples

Outline

Telharmonium

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FM Synthesis

Sinusoidal Modeling

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Spectrogram Synth

Johns Smith

Scott Levine Thesis Demos (Sines + Noise + Transients at 32 kbps)
(<http://ccrma.stanford.edu/~scottl/thesis.html>)

“It Takes Two” by Rob Base & DJ E-Z Rock

- Original
- MPEG-AAC at 32 kbps
- Sines+transients+noise at 32 kbps
- Multiresolution sinusoids
- Residual Bark-band noise
- Transform-coded transients (AAC)
- Bark-band noise above 5 kHz





Time Scale Modification using Sines + Noise + Transients

Outline

Telharmonium

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(<http://ccrma.stanford.edu/~scottl/thesis.html>)

Time-Scale Modification (pitch unchanged)

- S+N+T time-scale factors [2.0, 1.6, 1.2, 1.0, 0.8, 0.6, 0.5]

S+N+T Pitch Shifting (timing unchanged)

- Pitch-scale factors [0.89, 0.94, 1.00, 1.06, 1.12]





Outline

Telharmonium

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Phase Vocoder

Additive Synthesis

FM Synthesis

Sinusoidal Modeling

Spectrogram Synth

DDSP

Future

Spectrogram Synthesis (2017)



NSynth: Neural Audio Synthesis

Outline

Telharmonium

Voder

Channel Vocoder

Phase Vocoder

Additive Synthesis

FM Synthesis

Sinusoidal Modeling

Spectrogram Synth

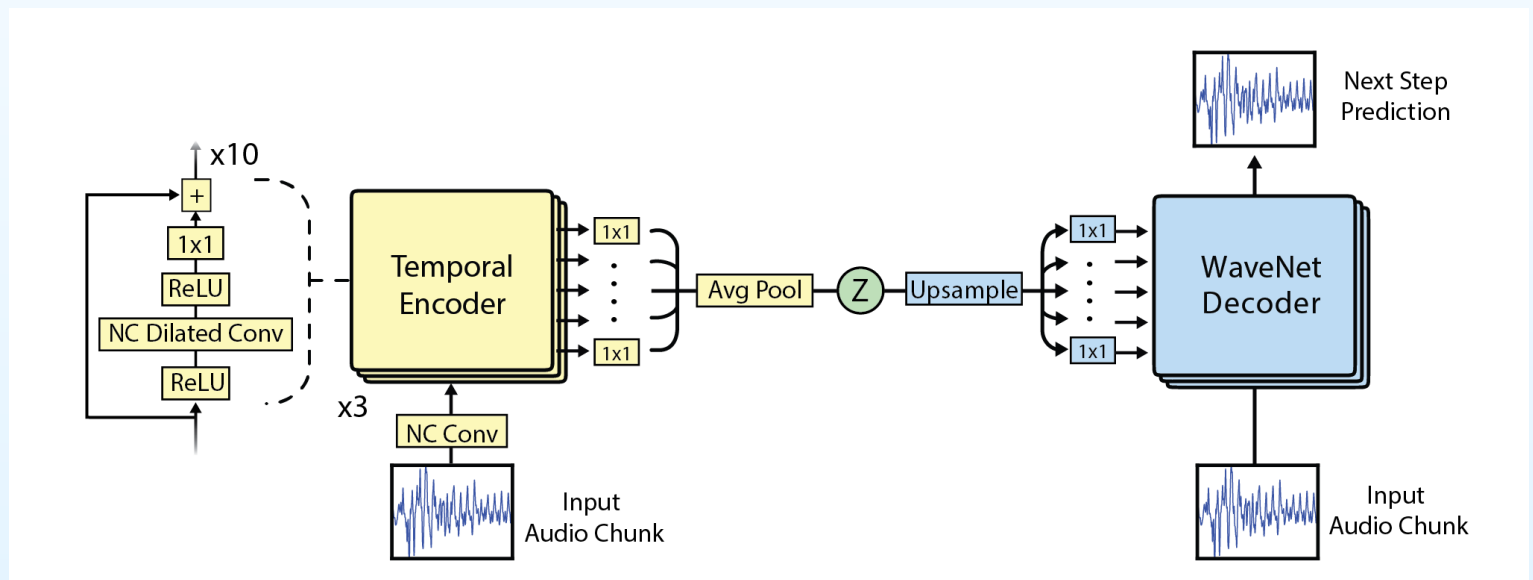
• NSynth

• Style Transfer

DDSP

Future

- NSynth uses deep neural networks to generate sounds at the level of individual samples
- Audio Morphing in “neural latent space”
- Google Magenta project:
<https://magenta.tensorflow.org/nsynth>





Neural Style Transfer for Audio Spectrograms (2017)

Outline

Telharmonium

Voder

Channel Vocoder

Phase Vocoder

Additive Synthesis

FM Synthesis

Sinusoidal Modeling

Spectrogram Synth

• NSynth

• **Style Transfer**

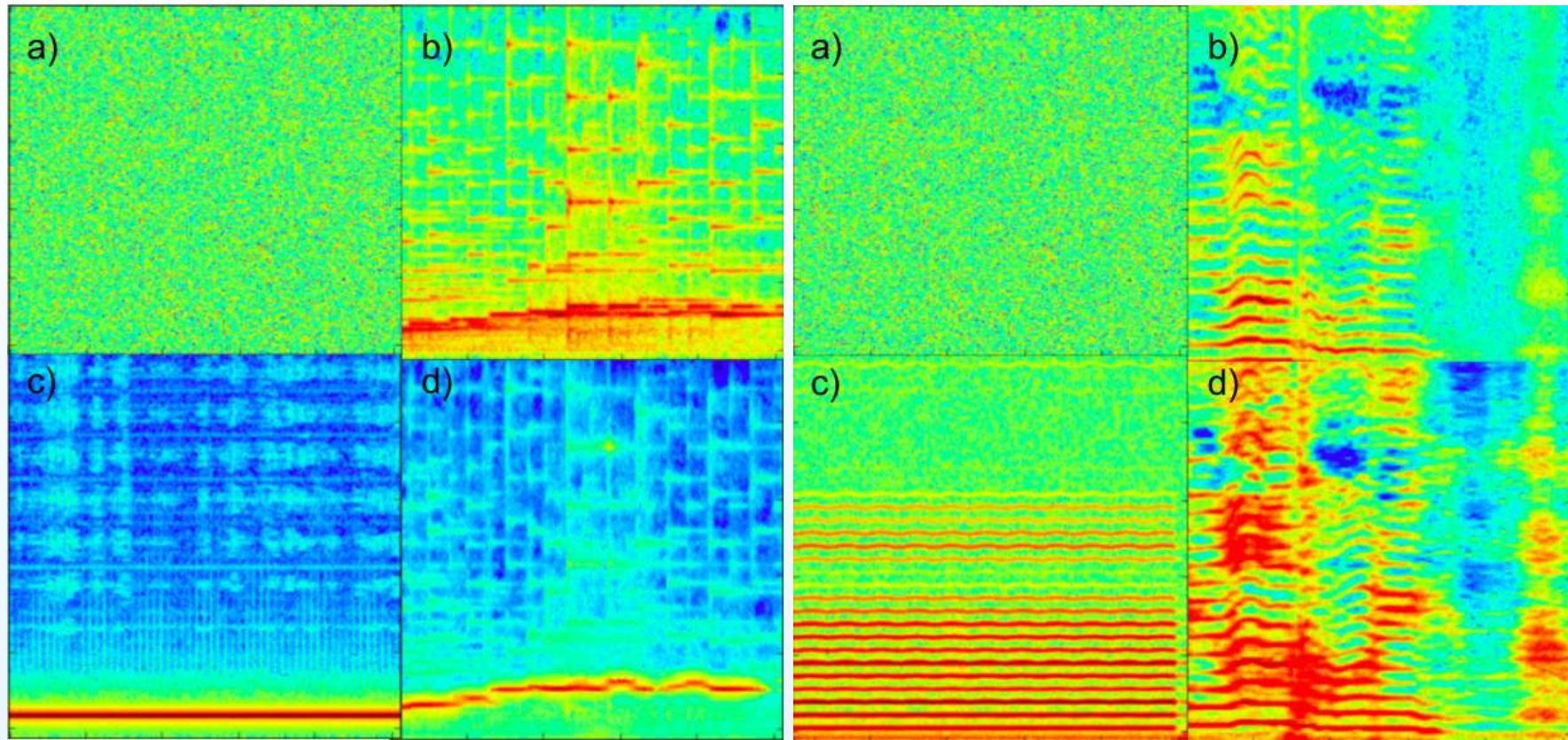
DDSP

Future

Learned Spectrogram $X(\omega, t)$ minimizes a *sum of loss terms*:

- *content loss* = L2 distance between current activation filters and those of “content” spectrogram
- *style loss* = normalized L2 distance between Gram matrix of filter activations of selected convolutional layers chosen as corresponding to “style”
- *differences in temporal and frequency energy envelopes*
- NIPS paper by Verma and Smith (2017):
<https://arxiv.org/abs/1801.01589>
- Original paper for images (2015): Gatys, Leon A., Alexander S. Ecker, and Matthias Bethge. “A neural algorithm of artistic style.” arXiv preprint arXiv:1508.06576(2015):
<https://arxiv.org/abs/1508.06576>
- Nice intro (2016):
<http://yeephycho.github.io/2016/09/14/neural-style/>

Spectrogram Style Transfer, Continued



- Left: Tuning-fork “style” imposed on a harp sample:
Adaptive filtering down to fundamental observed
- Right: Violin “style” imposed on singing voice:
Bandwidth extension observed



Outline

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Sinusoidal Modeling

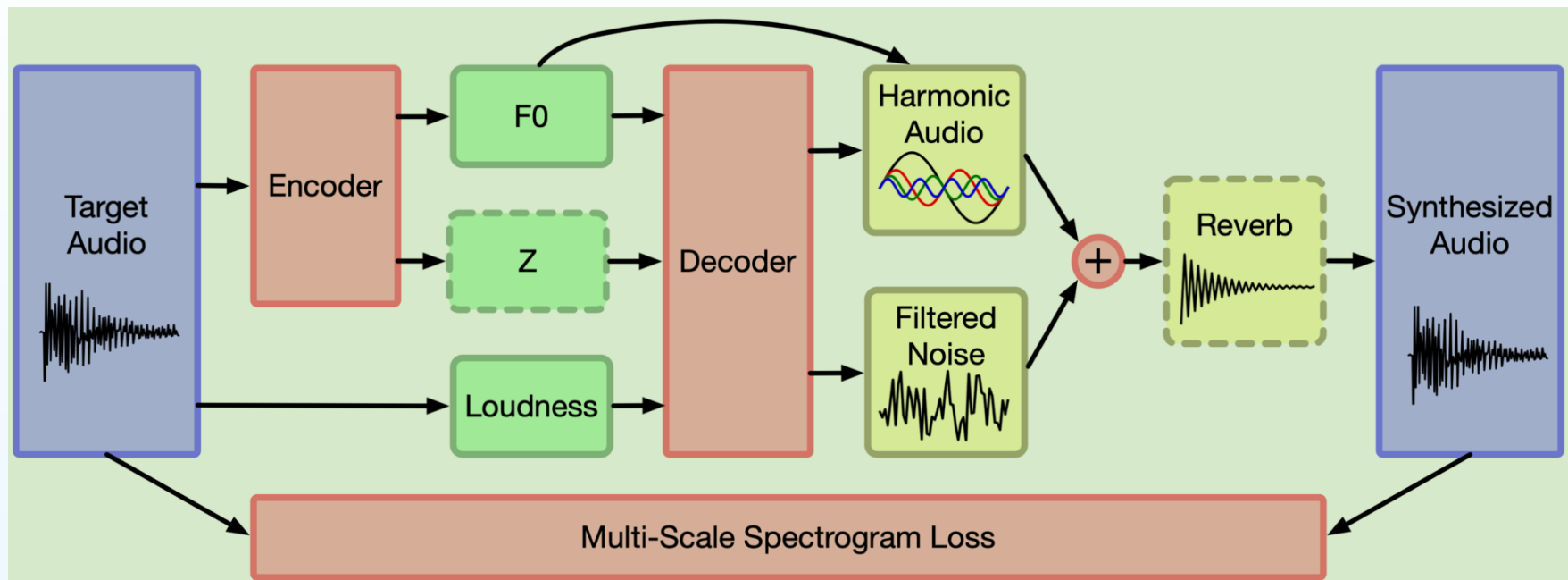
Spectrogram Synth

DDSP

Future

Differentiable DSP (2019)

DDSP: Differentiable DSP



- Jesse Engel et al. at Google Magenta Group
- Neural network analysis/synthesis for *differentiable signal models*
- *Additive Synthesis* example:
 - Loudness normalized by A-weighted log-power spectrum
 - Fundamental Frequency F0 from pretrained CREPE pitch detector
 - Timbre vector Z from *autoencoder*
 - Timbre vector decodes to sinusoidal amplitude trajectories



DDSP Encoder

Outline

Telharmonium

Voder

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Phase Vocoder

Additive Synthesis

FM Synthesis

Sinusoidal Modeling

Spectrogram Synth

DDSP

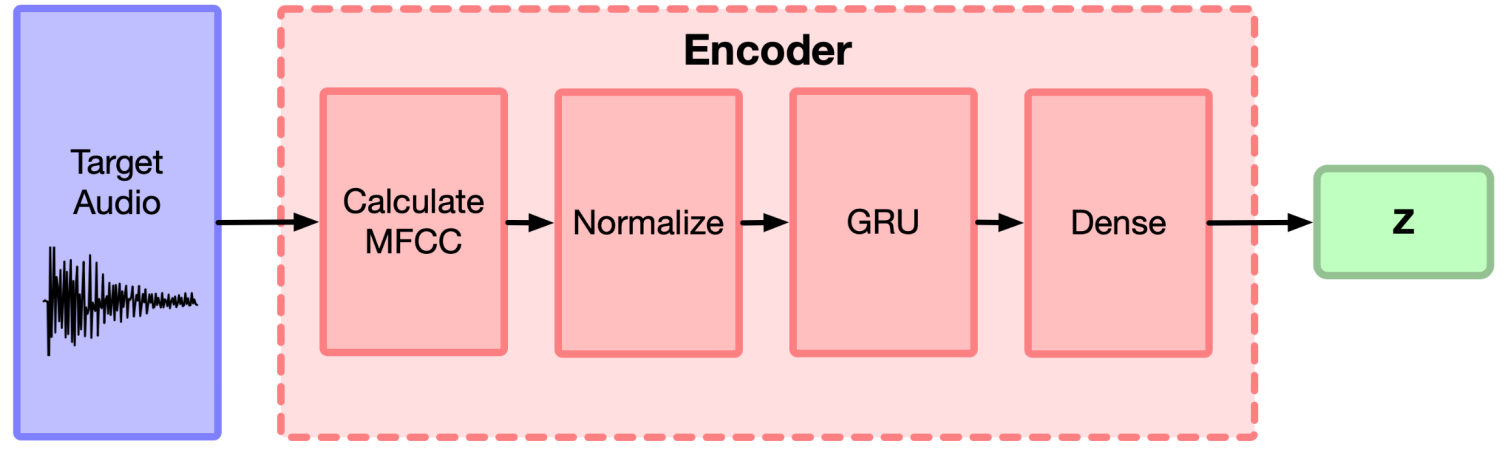
• DDSP

• **DDSP Encoder**

• DDSP Decoder

• DDSP MLP

Future



- Loudness and F0 of Target Audio have been normalized away
- MFCC = Mel Frequency Cepstral Coefficients
- GRU = Gated Recurrent Unit (Cho 2014) - similar to LSTM = Long/Short-Term Memory architecture
- Dense = Fully Connected Linear Deep Neural Net (512-to-16 compression step)
- F0 and Loudness normalization leave only *timbre* to be encoded



DDSP Decoder

Outline

Telharmonium

Voder

Channel Vocoder

Phase Vocoder

Additive Synthesis

FM Synthesis

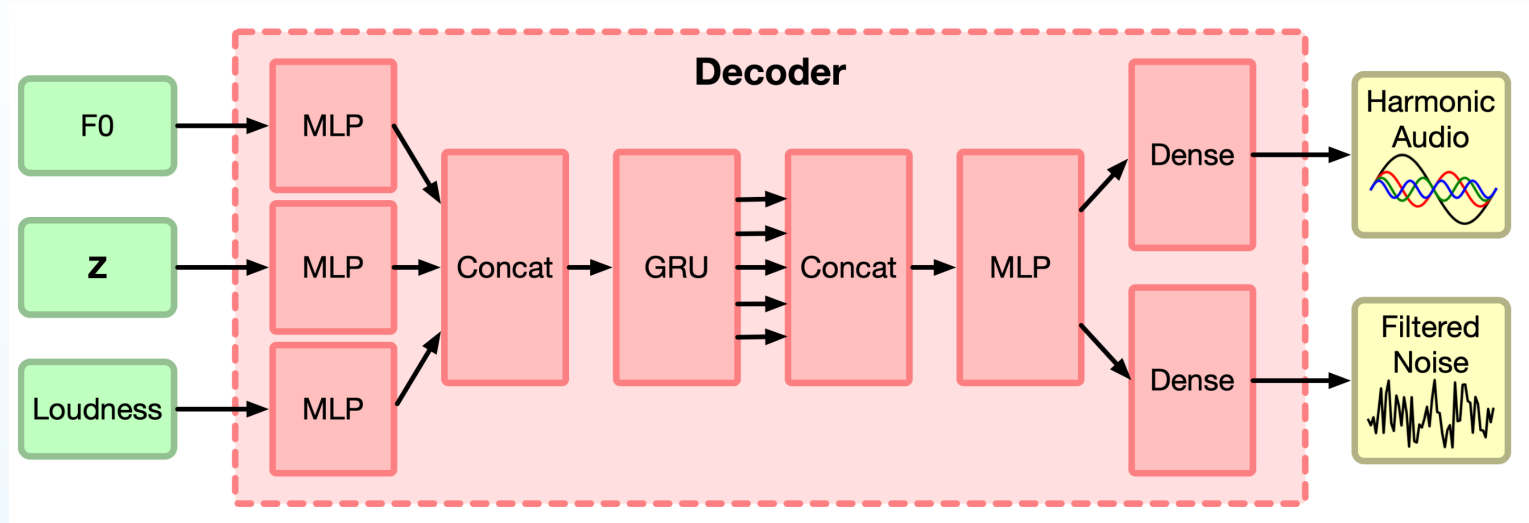
Sinusoidal Modeling

Spectrogram Synth

DDSP

- DDSP
- DDSP Encoder
- **DDSP Decoder**
- DDSP MLP

Future



- MLP = Multi-Layer Perceptron (classical neural network)
- 250 time steps (frames) included
- Output is additive synthesis parameters (sines + filtered noise)



DDSP MLP

Outline

Telharmonium

Voder

Channel Vocoder

Phase Vocoder

Additive Synthesis

FM Synthesis

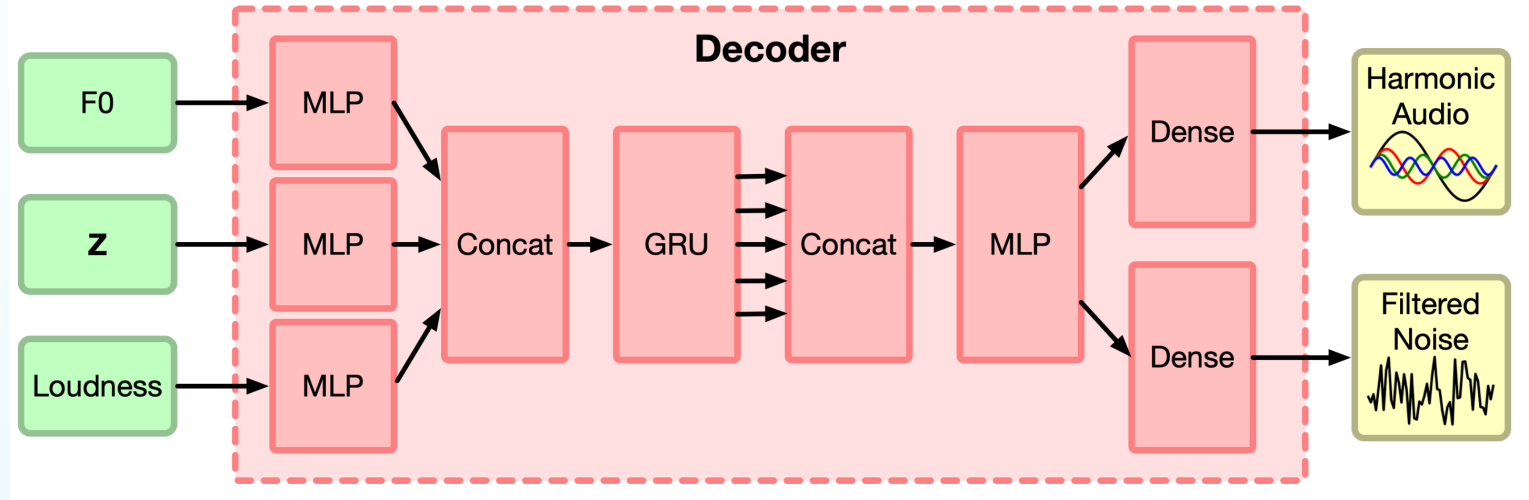
Sinusoidal Modeling

Spectrogram Synth

DDSP

- DDSP
- DDSP Encoder
- DDSP Decoder
- **DDSP MLP**

Future



- **RELU = Rectified Linear Unit (half-wave rectifier)**
- **3 layers and 512 Units**
- **Entire model is differentiable end to end, so back-propagation can optimize everything together (ADAM optimizer used)**
- **Optimization is generally Stochastic Gradient Descent**



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[Spectrogram Synth](#)

[DDSP](#)

[Future](#)

Summary and Future Prospects

Spectral Modeling History Highlights

- Bernoulli's modal sums (1733)
- Fourier's initial theorem (1822)
- Telharmonium (1906)
- Hammond organ (1930s)
- Channel Vocoder (1939)
- Phase Vocoder (1966)
- "Additive Synthesis" (1969)
- FFT Phase Vocoder (1976)
- Sinusoidal Modeling
(1977,1979,1985)
- Sines+Noise (1989)
- Sines+Transients (1989)
- TF Reassignment (1995)
- Sines+Noise+Transients
(1998)

Perceptual audio coding:

- Princen-Bradley filterbank
(1986)
- K. Brandenburg thesis (1989)
- *Auditory masking* usage
- Dolby AC2
- Musicam
- ASPEC
- MPEG-I,II,IV
(S+N+T "parametric sounds")

Future Prospects

Observations:

- Sinusoidal modeling of sound is “Unreasonably Effective”
- Basic “auditory masking” discards $\approx 90\%$ information
- Interesting neuroscience observation:

“... most neurons in the primary auditory cortex A1 are silent most of the time ...”

(from “Sparse Time-Frequency Representations”, Gardner and Magnesco, PNAS:103(16), April 2006)

- What is the right “psychospectral model” for sound?
 - The cochlea of the ear is a real-time spectrum analyzer
 - How is the “ear’s spectrogram” represented at higher levels?