# MUS420 Lecture Introduction to Physical Signal Models

Julius O. Smith III (jos@ccrma.stanford.edu)
Center for Computer Research in Music and Acoustics (CCRMA)
Department of Music, Stanford University
Stanford, California 94305

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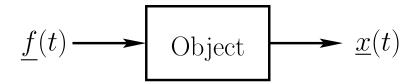
#### Outline

- Signal Models
- Physical Signal Models
  - Formulations
  - Simple Examples
  - Preview of Topics

#### What is a Model?

For our purposes, a *model* is any form of computation that *predicts* the state  $\underline{x}(t)$  at some future time t of a physical object or phenomenon based on

- 1. the object's initial state  $\underline{x}(0)$
- 2. any "input" (external) forces  $\underline{f}(t)$  on the object



In our case, typically,

 $\underline{f}(t)=$  vector of performance control variables Object = musical instrument and/or audio effects  $\underline{x}(t)=$  object state

The *output sound* is some function of the object state  $\underline{x}(t)$ .

#### When to Model

- Physical models are desirable when many dimensions of expressive control are needed
- This need is best appreciated by *performing musicians*
- Audience members only hear the final music played, so they are not in a position to judge the quality of multidimensional control
- Skilled musicians normally use a *narrow control* subspace in any given performance

# **Signal Models**

Popular non-physical signal models:

- Recordings (Samples)
- Spectral Models
- Virtual Analog (using classic sawtooth/square/etc. waveforms)

Recordings and spectral models can *emulate* physical models by storing a lot of data, indexed by control parameters.

## Recordings (Samples)

A very simple signal model is a *recording* of the desired sound indexed by controller state (key-press, pedal, . . .)

- Such a procedure is called instrument sampling
- For example, consider the following example *sampled* piano:
  - The Synthogy Ivory (a \$349 software product in 2006), ships as 40 Gigabytes on ten DVDs (three sampled pianos)
  - Every key is sampled
  - 4-10 "velocity layers"
  - Separate recordings with the soft pedal down
  - Separate "release" recordings, for multiple striking velocities
- Sampling is highly laborious, but nevertheless the most common method
- Dimensions of expressive performance are limited
- Continuously-controlled instruments such as bowed-string, wind, and brass instruments are drastically oversimplified

- Piano performance is well digitized by MIDI (key-number, key-velocity)
- Piano *pedals* are *continuous* controls, however
- Bowed-string and wind instruments have multiple continuous dimensions of control (many MIDI "controllers" needed in principle)
- Interaction with the instrument state is invariably sacrificed

The main advantage of sample-based sound synthesis is the high sound quality (limited only by the original recordings and subsequent signal processing)

### **Spectral Models**

As discussed in Music 421 (next year), *spectral* models are inspired by the mechanics of *hearing* 

- They are often based on the *Short-Time Fourier Transform* (STFT)
- There are also signal models, such as Linear Predictive Coding (LPC) whose success derives from how well they match spectral characteristics of hearing
- Frequency-Modulation (FM) synthesis is typically developed by tweaking FM parameters to match short-term audio spectra, so it can be regarded as a spectral modeling technique with extremely low computational requirements
- Other well known signal models rooted in the spectral point of view:
  - phase vocoder
  - additive synthesis
  - spectral modeling synthesis (sines + noise, sines + noise + transients, etc.)

## Virtual Analog

Analog synthesizers, such as the modular Moog and ARP synthesizers, typically used elementary waveforms such as sawtooth, pulse train, and the like

- A variety of signal models has been developed for generating such waveforms digitally without incurring the aliasing associated with simply sampling the ideal waveforms
- A special issue of the IEEE Transactions on Audio, Speech, and Language Processing (May 2010) was devoted to this area
- The same issue also includes papers on more model-based approaches in which the original analog circuit is digitized using general methods, some of which will be discussed in later lectures
- Waveform signal models will not be discussed further in this course, so see the many references in the text for more on such methods

# **Physical Signal Models**

We now turn to the main subject of this course, *physical* models of musical instruments and audio effects:

- Review physical models in general
- Summarize important formulations
- Indicate course emphasis relative to the "big picture"

#### All We Need is Newton

- Since there are no relativistic or quantum effects to worry about (yet) in musical instruments or audio effects, Newtonian mechanics will suffice for our purposes<sup>1</sup>
- Newton's three laws of motion can be summarized by the classic equation

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f = m a (Force = Mass times Acceleration).
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- Models based on Newton's laws can quickly become complex
- We will usually need many further simplifications that preserve both sound quality and expressivity of control

<sup>&</sup>lt;sup>1</sup>Actually, quantum will be needed exactly once.

# **Physical Model Formulations**

Below are names of various kinds of physical model representations we will consider:

- Ordinary Differential Equations (ODE)
- Partial Differential Equations (PDE)
- Difference Equations (DE)
- Finite Difference Schemes (FDS)
- (Physical) State Space Models
- Transfer Functions (between physical signals)
- Modal Representations (Parallel Second-Order Filters)
- Equivalent Circuits
- Impedance Networks
- Wave Digital Filters (WDF)
- Digital Waveguide (DW) Networks

## History

#### 2 SOUND SYNTHESIS AND PHYSICAL MODELING

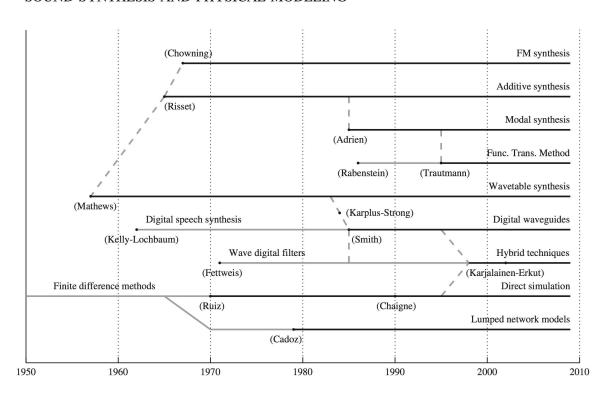


Figure from **Numerical Sound Synthesis**Stefan Bilbao
Wiley 2009