MUS420 Lecture Elementary Digital Waveguide Models for Vibrating Strings

Julius O. Smith III (jos@ccrma.stanford.edu)
Center for Computer Research in Music and Acoustics (CCRMA)
Department of Music, Stanford University
Stanford, California 94305

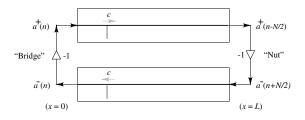
June 27, 2020

Outline

- Terminated string
- Plucked and struck string
- Damping and dispersion
- String Loop Identification
- Nonlinear "overdrive" distortion

1

Acceleration-Wave Simulation



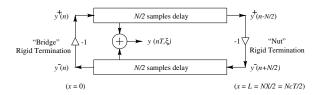
Initial conditions for the ideal plucked string: acceleration or curvature waves.

Recall:

$$y'' = \frac{1}{c^2}\ddot{y}$$

Acceleration waves are proportional to "curvature" waves.

Rigidly Terminated Ideal String



- Reflection *inverts* for displacement, velocity, or acceleration waves (proof below)
- Reflection non-inverting for slope or force waves

Boundary conditions:

$$y(t,0) \equiv 0$$
 $y(t,L) \equiv 0$ ($L = \text{string length}$)

Expand into Traveling-Wave Components:

$$y(t,0) = y_r(t) + y_l(t) = y^+(t/T) + y^-(t/T)$$

 $y(t,L) = y_r(t-L/c) + y_l(t+L/c)$

Solving for outgoing waves gives

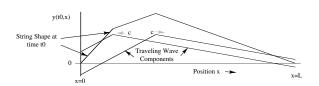
$$y^{+}(n) = -y^{-}(n)$$

 $y^{-}(n + N/2) = -y^{+}(n - N/2)$

 $N \stackrel{\Delta}{=} 2L/X = round$ -trip propagation time in samples

2

Doubly Terminated Ideal Plucked String



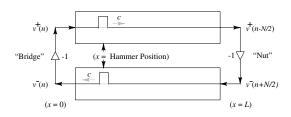
A doubly terminated string, "plucked" at 1/4 its length.

- Shown short time after pluck event.
- Traveling-wave components and physical string-shape shown.
- Note traveling-wave components sum to zero at terminations. (Use image method.)

3

4

Ideal Struck-String Velocity-Wave Simulation



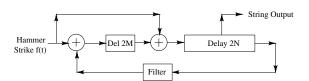
Initial conditions for the ideal struck string in a *velocity* wave simulation.

Hammer strike = momentum transfer = velocity step:

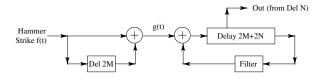
$$m_h v_h(0-) = (m_h + m_s) v_s(0+)$$

5

Delay Consolidated System (Repeated):

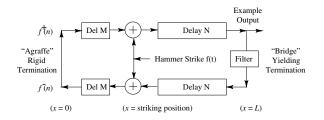


Equivalent System: FFCF Factored Out:



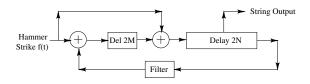
- Extra memory needed.
- Output "tap" can be moved to delay-line output.

External String Excitation at a Point



"Waveguide Canonical Form"

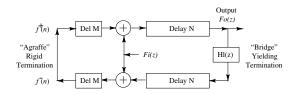
Equivalent System: Delay Consolidation



Finally, we "pull out" the comb-filter component:

6

Algebraic Derivation

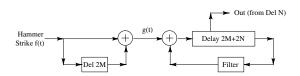


By inspection:

$$F_{o}(z) = z^{-N} \left\{ F_{i}(z) + z^{-2M} \left[F_{i}(z) + z^{-N} H_{l}(z) F_{o}(z) \right] \right\}$$

$$\Rightarrow H(z) \stackrel{\Delta}{=} \frac{F_{o}(z)}{F_{i}(z)} = z^{-N} \frac{1 + z^{-2M}}{1 - z^{-(2M + 2N)} H_{l}(z)}$$

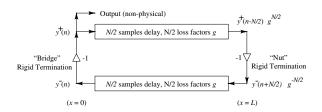
$$= \left(1 + z^{-2M} \right) \frac{z^{-N}}{1 - z^{-(2M + 2N)} H_{l}(z)}$$



7

8

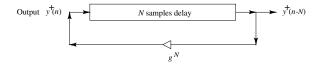
Damped Plucked String



Rigidly terminated string with distributed resistive losses.

 \bullet N loss factors g are embedded between the delay-line elements.

Equivalent System: Gain Elements Commuted



All N loss factors g have been "pushed" through delay elements and combined at a \emph{single} point.

9

Frequency-Dependent Damping

- ullet Loss factors g should really be digital filters
- Gains in nature typically decrease with frequency
- Loop gain may not exceed 1 (for stability)
- Gain filters commute with delay elements (LTI)
- Typically only one gain filter used per loop

Simplest Frequency-Dependent Loop Filter

$$H_l(z) = b_0 + b_1 z^{-1}$$

- Linear phase $\Rightarrow b_0 = b_1$ (\Rightarrow delay = 1/2 sample)
- Zero damping at dc \Rightarrow $b_0 + b_1 = 1$ \Rightarrow $b_0 = b_1 = 1/2$ \Rightarrow

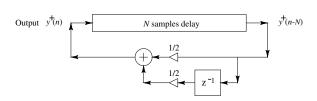
$$H_l(e^{j\omega T}) = \cos(\omega T/2), \quad |\omega| \le \pi f_s$$

Computational Savings

- \bullet $f_s = 50 \mathrm{kHz}, f_1 = 100 Hz \Rightarrow \mathrm{delay} = 500$
- Multiplies reduced by two orders of magnitude
- Input-output transfer function unchanged
- Round-off errors reduced

10

Karplus-Strong Algorithm



 To play a note, the delay line is initialized with random numbers ("white noise")

KS Physical Interpretation

- Rigidly terminated ideal string with the simplest damping filter
- Damping consolidated at one point and replaced by a one-zero filter approximation
- String shape = sum of upper and lower delay lines
- The difference of upper and lower delay lines corresponds to a nonzero initial string velocity. To show this, recall that $f \stackrel{\triangle}{=} -Ky'$ so that

$$y' \ = \ -\frac{1}{K}(f^+ + f^-) \ = \ -\frac{R}{K}(v^+ - v^-) \ = \ \frac{1}{c}(v^- - v^+)$$

implying

$$v^+ = -c(y^+)' \qquad v^- = c(y^-)'$$

- Karplus-Strong string is both "plucked" and "struck" by random amounts along entire length of string!
- A "splucked" string?

KS Sound Examples

• "Vintage" 8-bit sound examples:

• Original Plucked String: (AIFF) (MP3)

• Drum: (AIFF) (MP3)

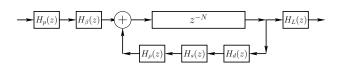
• Stretched Drum: (AIFF) (MP3)

• STK Plucked String: (WAV) (MP3)

• Extended KS (EKS) Scale: (WAV) (MP3)

13

Extended Karplus-Strong (EKS) Algorithm



 $N = \text{pitch period } (2 \times \text{string length}) \text{ in samples}$

$$H_p(z) \, = \, \frac{1-p}{1-p\,z^{-1}} = {\rm pick\text{-}direction\ lowpass\ filter}$$

$$H_{\beta}(z) \, = \, 1 - z^{-\beta N} = \mathrm{pick\text{-}position}$$
 comb filter, $\beta \in (0,1)$

$$H_d(z) = {\sf string\text{-}damping filter (one/two poles/zeros typical)}$$

$$H_s(z) = \text{string-stiffness allpass filter (several poles and zeros)}$$

$$H_{
ho}(z)\,=\,rac{
ho(N)-z^{-1}}{1-
ho(N)\,z^{-1}}=$$
 first-order string-tuning allpass filter

$$H_L(z) \, = \, \frac{1 - R_L}{1 - R_L \, z^{-1}} = {\rm dynamic\text{-}level \ lowpass \ filter}$$

14

EKS Sound Example

Bach A-Minor Concerto—Orchestra Part: (WAV) (MP3)

- Executes in real time on one Motorola DSP56001 (20 MHz clock, 128K SRAM)
- Developed for the NeXT Computer introduction at Davies Symphony Hall, San Francisco, 1989
- Solo violin part was played live by Dan Kobialka of the San Francisco Symphony

Simplest Frequency-Dependent Loss

Recall that the two-point average used in the Karplus-Strong algorithm can be interpreted as the simplest possible frequency-dependent loss filter for the otherwise ideal vibrating string:

$$H_l(z) = \frac{1 + z^{-1}}{2}$$

Next Simplest Case: Length 3 FIR Loop Filter

$$H_l(z) = b_0 + b_1 z^{-1} + b_2 z^{-2}$$

- Linear phase $\Rightarrow b_0 = b_2$ (\Rightarrow delay = 1 sample)
- Unity dc gain \Rightarrow $b_0+b_1+b_2=2b_0+b_1=1$ \Rightarrow $H_l(e^{j\omega T})=e^{-j\omega T}\left[(1-2b_0)+2b_0\cos(\omega T)\right]$
- Remaining degree of freedom = damping control

17

Loop Filter Identification

For loop-filter design, we wish to minimize the error in

- partial decay time (set by amplitude response)
- partial overtone tuning (set by phase response)

Simple and effective method (MUS421 style):

- Estimate pitch (elaborated next page)
- Set Hamming FFT-window length to four periods
- Compute the short-time Fourier transform (STFT)
- Detect peaks in each spectral frame
- Connect peaks through time (amplitude envelopes)
- Amplitude envelopes must decay exponentially
- On a dB scale, exponential decay is a straight line
- \bullet Slope of straight line determines decay time-constant
- Can use 1st-order polyfit in Matlab or Octave
- For beating decay, connect amplitude envelope peaks
- Decay rates determine ideal amplitude response
- Partial tuning determines ideal phase response

Length 3 FIR Loop Filter with Variable DC Gain

Relaxing the unity-dc-gain restriction, but keeping linear phase, we have

$$H_l(z) = b_0 + b_1 z^{-1} + b_0 z^{-2}$$
 (linear phase)

We can use the remaining two degrees of freedom for brightness B & sustain S:

$$g_0 \stackrel{\Delta}{=} e^{-6.91P/S}$$

 $b_0 = g_0(1-B)/4 = b_2$
 $b_1 = g_0(1+B)/2$

where

P = period in seconds (total loop delay)

S = desired sustain time in seconds

B =brightness parameter in the interval [0, 1]

Sustain time S is defined here as the time to decay $60~\mathrm{dB}$ (or $6.91~\mathrm{time\text{-}constants}$) when brightness B is maximum (B=1). At minimum brightness (B=0), we have

$$|H_l(e^{j\omega T})| = g_0 \frac{1 + \cos(\omega T)}{2} = g_0 \cos^2(\omega T)$$

18

Plucked/Struck String Pitch Estimation

- Take FFT of middle third of plucked string tone
- Detect spectral peaks
- Form histogram of peak spacing Δf_i
- Pitch estimate $\hat{f}_0 \stackrel{\Delta}{=}$ most common spacing Δf_i
- Refine \hat{f}_0 with gradient search using harmonic comb:

$$\hat{f}_0 \stackrel{\Delta}{=} \arg \max_{\hat{f}_0} \sum_{k=1}^K \log \left| X(k\hat{f}_0) \right|$$
$$= \arg \max_{\hat{f}_0} \prod_{k=1}^K \left| X(k\hat{f}_0) \right|$$

where

K = number of peaks, and k = harmonic number of k th peak(valid method for non-stiff strings)

Must skip over any missing harmonics, i.e., omit k whenever $|X(k\hat{f}_0)| \approx 0$.

The text provides further details and pointers to recent papers on pitch estimation.

Nonlinear "Overdrive"

A popular type of distortion, used in *electric guitars*, is *clipping* of the guitar waveform.

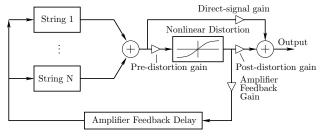
Hard Clipper

$$f(x) = \begin{cases} -1, & x \le -1 \\ x, & -1 \le x \le 1 \\ 1, & x \ge 1 \end{cases}$$

where x denotes the current input sample x(n), and f(x) denotes the output of the nonlinearity.

21

Amplifier Distortion + Amplifier Feedback

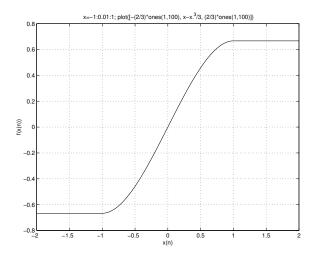


Distorted Electric Guitar with Amplifier Feedback

- Distortion can be preceded and followed by *EQ* E.g., integrator "pre" and differentiator "post"
- Distortion output signal often further filtered by an amplifier cabinet filter, representing speaker cabinet, driver responses, etc.
- In Class A tube amplifiers, there should be *duty-cycle modulation* as a function of signal level¹
 - 50% at low levels (no duty-cycle modulation)
 - -55-65% duty cycle observed at high levels \Rightarrow even harmonics come in
 - Example: Distortion input can offset by a constant (e.g., input RMS level times some scaling)

Soft Clipper

$$f(x) = \begin{cases} -\frac{2}{3}, & x \le -1\\ x - \frac{x^3}{3}, & -1 \le x \le 1\\ \frac{2}{3}, & x \ge 1 \end{cases}$$



22

http://www.trueaudio.com/at_eetjlm.htm